

Form **8453-EO****Exempt Organization Declaration and Signature for
Electronic Filing**

OMB No. 1545-0047

For calendar year 2019, or tax year beginning 07/01, 2019, and ending 06/30, 20 20**2019**Department of the Treasury
Internal Revenue Service

For use with Forms 990, 990-EZ, 990-PF, 1120-POL, and 8868

Name of exempt organization

Employer identification number

AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT CHAPTERS &53-0196605**Part I Type of Return and Return Information (Whole Dollars Only)**

Check the box for the type of return being filed with Form 8453-EO and enter the applicable amount, if any, from the return. If you check the box on line 1a, 2a, 3a, 4a, or 5a below and the amount on that line of the return being filed with this form was blank, then leave line 1b, 2b, 3b, 4b, or 5b, whichever is applicable, blank (do not enter -0-). If you entered -0- on the return, then enter -0- on the applicable line below. Do not complete more than one line in Part I.

| | | | |
|-----------------------------|-------------------------------------|--|----------------------|
| 1a Form 990 check here | <input checked="" type="checkbox"/> | b Total revenue, if any (Form 990, Part VIII, column (A), line 12) . . . | 1b <u>2839409246</u> |
| 2a Form 990-EZ check here | <input type="checkbox"/> | b Total revenue, if any (Form 990-EZ, line 9) | 2b _____ |
| 3a Form 1120-POL check here | <input type="checkbox"/> | b Total tax (Form 1120-POL, line 22). | 3b _____ |
| 4a Form 990-PF check here | <input type="checkbox"/> | b Tax based on investment income (Form 990-PF, Part VI, line 5) | 4b _____ |
| 5a Form 8868 check here | <input type="checkbox"/> | b Balance due (Form 8868, line 3c) | 5b _____ |

Part II Declaration of Officer

6 ☐ I authorize the U.S. Treasury and its designated Financial Agent to initiate an Automated Clearing House (ACH) electronic funds withdrawal (direct debit) entry to the financial institution account indicated in the tax preparation software for payment of the organization's federal taxes owed on this return, and the financial institution to debit the entry to this account. To revoke a payment, I must contact the U.S. Treasury Financial Agent at 1-888-353-4537 no later than 2 business days prior to the payment (settlement) date. I also authorize the financial institutions involved in the processing of the electronic payment of taxes to receive confidential information necessary to answer inquiries and resolve issues related to the payment.

☐ If a copy of this return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program, I certify that I executed the electronic disclosure consent contained within this return allowing disclosure by the IRS of this Form 990/990-EZ/990-PF (as specifically identified in Part I above) to the selected state agency(ies).

Under penalties of perjury, I declare that I am an officer of the above named organization and that I have examined a copy of the organization's 2019 electronic return and accompanying schedules and statements, and, to the best of my knowledge and belief, they are true, correct, and complete. I further declare that the amount in Part I above is the amount shown on the copy of the organization's electronic return. I consent to allow my intermediate service provider, transmitter, or electronic return originator (ERO) to send the organization's return to the IRS and to receive from the IRS (a) an acknowledgement of receipt or reason for rejection of the transmission, (b) the reason for any delay in processing the return or refund, and (c) the date of any refund.

Sign
Here

Signature of officer

Date

CFO
Title**Part III Declaration of Electronic Return Originator (ERO) and Paid Preparer (see instructions)**

I declare that I have reviewed the above organization's return and that the entries on Form 8453-EO are complete and correct to the best of my knowledge. If I am only a collector, I am not responsible for reviewing the return and only declare that this form accurately reflects the data on the return. The organization officer will have signed this form before I submit the return. I will give the officer a copy of all forms and information to be filed with the IRS, and have followed all other requirements in Pub. 4163, Modernized e-File (MeF) Information for Authorized IRS e-file Providers for Business Returns. If I am also the Paid Preparer, under penalties of perjury I declare that I have examined the above organization's return and accompanying schedules and statements, and, to the best of my knowledge and belief, they are true, correct, and complete. This Paid Preparer declaration is based on all information of which I have any knowledge.

**ERO's
Use
Only**ERO's
signatureFirm's name (or
yours if self-employed),
address, and ZIP code

Date

4-7-2021Check if
also paid
preparerCheck if
self-
employed

ERO's SSN or PTIN

P01205643EIN 13-5565207Phone no. 703-286-8000

Under penalties of perjury, I declare that I have examined the above return and accompanying schedules and statements, and, to the best of my knowledge and belief, they are true, correct, and complete. Declaration of preparer is based on all information of which the preparer has any knowledge.

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date

Check ☐ if
self-employed

PTIN

Firm's name

Firm's EIN

Firm's address

Phone no.

For Privacy Act and Paperwork Reduction Act Notice, see back of form.

Form **8453-EO** (2019)

Cumulative e-File History 2019

Federal

Tax Return

06583L

Return Type

990

TaxpayerAmerican National Red Cross & Its
Constituent

Submitted Date 2021-04-09 11:25:50

Acknowledgement Date 2021-04-09 11:57:14

Status Accepted

Submission ID 54028020210995000015

Form **990**

(Rev. January 2020)

Department of the Treasury
Internal Revenue Service**Return of Organization Exempt From Income Tax**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019Open to Public
Inspection

| | | | | | | | | | | | | | |
|---|--|--|--|--|-------------|--------------------------|----------------|--------------------------|-------------------------|--------------------------|----------------|--------------------------|---------------------|
| A For the 2019 calendar year, or tax year beginning <u>07/01, 2019</u> , and ending <u>06/30, 2020</u> | | | | | | | | | | | | | |
| B Check if applicable: | <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:10%;"><input type="checkbox"/></td> <td>Address change</td> </tr> <tr> <td><input type="checkbox"/></td> <td>Name change</td> </tr> <tr> <td><input type="checkbox"/></td> <td>Initial return</td> </tr> <tr> <td><input type="checkbox"/></td> <td>Final return/terminated</td> </tr> <tr> <td><input type="checkbox"/></td> <td>Amended return</td> </tr> <tr> <td><input type="checkbox"/></td> <td>Application pending</td> </tr> </table> | <input type="checkbox"/> | Address change | <input type="checkbox"/> | Name change | <input type="checkbox"/> | Initial return | <input type="checkbox"/> | Final return/terminated | <input type="checkbox"/> | Amended return | <input type="checkbox"/> | Application pending |
| <input type="checkbox"/> | Address change | | | | | | | | | | | | |
| <input type="checkbox"/> | Name change | | | | | | | | | | | | |
| <input type="checkbox"/> | Initial return | | | | | | | | | | | | |
| <input type="checkbox"/> | Final return/terminated | | | | | | | | | | | | |
| <input type="checkbox"/> | Amended return | | | | | | | | | | | | |
| <input type="checkbox"/> | Application pending | | | | | | | | | | | | |
| <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:65%;"> C Name of organization <u>AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT CHAPTERS AND BRANCHES</u> Doing business as _____ Number and street (or P.O. box if mail is not delivered to street address) Room/suite <u>431 18TH STREET, NW</u> City or town, state or province, country, and ZIP or foreign postal code <u>WASHINGTON, DC 20006-5009</u> </td> <td style="width:35%;"> D Employer identification number <u>53-0196605</u> E Telephone number <u>(202) 303-4498</u> </td> </tr> <tr> <td colspan="2"> F Name and address of principal officer: <u>GAIL MCGOVERN</u> <u>430 17TH ST. NW, WASHINGTON, DC 20006</u> </td> </tr> </table> | | C Name of organization <u>AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT CHAPTERS AND BRANCHES</u> Doing business as _____ Number and street (or P.O. box if mail is not delivered to street address) Room/suite <u>431 18TH STREET, NW</u> City or town, state or province, country, and ZIP or foreign postal code <u>WASHINGTON, DC 20006-5009</u> | D Employer identification number <u>53-0196605</u> E Telephone number <u>(202) 303-4498</u> | F Name and address of principal officer: <u>GAIL MCGOVERN</u> <u>430 17TH ST. NW, WASHINGTON, DC 20006</u> | | | | | | | | | |
| C Name of organization <u>AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT CHAPTERS AND BRANCHES</u> Doing business as _____ Number and street (or P.O. box if mail is not delivered to street address) Room/suite <u>431 18TH STREET, NW</u> City or town, state or province, country, and ZIP or foreign postal code <u>WASHINGTON, DC 20006-5009</u> | D Employer identification number <u>53-0196605</u> E Telephone number <u>(202) 303-4498</u> | | | | | | | | | | | | |
| F Name and address of principal officer: <u>GAIL MCGOVERN</u> <u>430 17TH ST. NW, WASHINGTON, DC 20006</u> | | | | | | | | | | | | | |
| G Gross receipts \$ <u>2,989,190,408.</u> H(a) Is this a group return for subordinates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No H(b) Are all subordinates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," attach a list. (see instructions) | | | | | | | | | | | | | |
| I Tax-exempt status: <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c) () ◀ (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527 | | | | | | | | | | | | | |
| J Website: ▶ <u>WWW.REDCROSS.ORG</u> | | | | | | | | | | | | | |
| K Form of organization: <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ▶ L Year of formation: <u>1900</u> M State of legal domicile: <u>DC</u> | | | | | | | | | | | | | |

| Part I Summary | | |
|-----------------------------|--|---|
| Activities & Governance | 1 | Briefly describe the organization's mission or most significant activities: <u>THE AMERICAN NATIONAL RED CROSS PREVENTS AND ALLEVIATES HUMAN SUFFERING IN THE FACE OF EMERGENCIES BY MOBILIZING THE POWER OF VOLUNTEERS AND THE GENEROSITY OF DONORS.</u> |
| | 2 | Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets. |
| | 3 | Number of voting members of the governing body (Part VI, line 1a) <u>16.</u> |
| | 4 | Number of independent voting members of the governing body (Part VI, line 1b) <u>15.</u> |
| | 5 | Total number of individuals employed in calendar year 2019 (Part V, line 2a) <u>18,984.</u> |
| | 6 | Total number of volunteers (estimate if necessary) <u>300,000.</u> |
| | 7a | Total unrelated business revenue from Part VIII, column (C), line 12 <u>7,042,894.</u> |
| 7b | Net unrelated business taxable income from Form 990-T, line 39 <u>0.</u> | |
| Revenue | 8 | Contributions and grants (Part VIII, line 1h) <u>817,365,759.</u> |
| | 9 | Program service revenue (Part VIII, line 2g) <u>1,883,680,966.</u> |
| | 10 | Investment income (Part VIII, column (A), lines 3, 4, and 7d) <u>65,889,415.</u> |
| | 11 | Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) <u>46,518,795.</u> |
| | 12 | Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12) <u>2,813,454,935.</u> |
| | Expenses | 13 |
| 14 | | Benefits paid to or for members (Part IX, column (A), line 4) <u>0.</u> |
| 15 | | Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10) <u>1,361,722,953.</u> |
| 16a | | Professional fundraising fees (Part IX, column (A), line 11e) <u>247,242.</u> |
| 16b | | Total fundraising expenses (Part IX, column (D), line 25) ▶ <u>172,689,972.</u> |
| 17 | | Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e) <u>1,176,822,797.</u> |
| 18 | | Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25) <u>2,937,181,875.</u> |
| 19 | | Revenue less expenses. Subtract line 18 from line 12 <u>-123,726,940.</u> |
| Net Assets or Fund Balances | 20 | Total assets (Part X, line 16) <u>3,049,904,049.</u> |
| | 21 | Total liabilities (Part X, line 26) <u>1,607,739,689.</u> |
| | 22 | Net assets or fund balances. Subtract line 21 from line 20. <u>1,442,164,360.</u> |

| Part II Signature Block | | | |
|---|---|--|-------------------------|
| Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge. | | | |
| Sign Here | <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:60%;"> Signature of officer BRIAN J. RHOADS Type or print name and title CFO </td> <td style="width:40%; text-align: right;"> <u>4-8-2021</u> Date </td> </tr> </table> | Signature of officer BRIAN J. RHOADS Type or print name and title CFO | <u>4-8-2021</u> Date |
| Signature of officer BRIAN J. RHOADS Type or print name and title CFO | <u>4-8-2021</u> Date | | |

| | | | | | |
|------------------------|--|-------------------------|----------|---|-----------|
| Paid Preparer Use Only | Print/Type preparer's name | Preparer's signature | Date | Check <input type="checkbox"/> if self-employed | PTIN |
| | RAYMOND LY | | 4-7-2021 | <input type="checkbox"/> | P01205643 |
| | Firm's name ▶ KPMG LLP | Firm's EIN ▶ 13-5565207 | | | |
| | Firm's address ▶ 8350 BROAD STREET, SUITE 900 MCLEAN, VA 22102 | Phone no. 703-286-8000 | | | |

May the IRS discuss this return with the preparer shown above? (see instructions) ☒ Yes ☐ No

For Paperwork Reduction Act Notice, see the separate instructions.

Form **990** (2019)

Form **8868**

(Rev. January 2020)

Department of the Treasury
Internal Revenue Service**Application for Automatic Extension of Time To File an
Exempt Organization Return**

► **File a separate application for each return.**
 ► **Go to www.irs.gov/Form8868 for the latest information.**

OMB No. 1545-0047

Electronic filing (e-file). You can electronically file Form 8868 to request a 6-month automatic extension of time to file any of the forms listed below with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, for which an extension request must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/e-file-providers/e-file-for-charities-and-non-profits.

Automatic 6-Month Extension of Time. Only submit original (no copies needed).

All corporations required to file an income tax return other than Form 990-T (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

| | | |
|--|--|---|
| Type or print File by the due date for filing your return. See instructions. | Name of exempt organization or other filer, see instructions. American National Red Cross & Its Constituent Chapters and Branches | Taxpayer identification number (TIN) 53-0196605 |
| | Number, street, and room or suite no. If a P.O. box, see instructions. 431 18th Street, NW | |
| | City, town or post office, state, and ZIP code. For a foreign address, see instructions. Washington, DC 20006-5009 | |
| | | |

Enter the Return Code for the return that this application is for (file a separate application for each return) **0 1**

| Application Is For | Return Code | Application Is For | Return Code |
|--|-------------|-----------------------------------|-------------|
| Form 990 or Form 990-EZ | 01 | Form 990-T (corporation) | 07 |
| Form 990-BL | 02 | Form 1041-A | 08 |
| Form 4720 (individual) | 03 | Form 4720 (other than individual) | 09 |
| Form 990-PF | 04 | Form 5227 | 10 |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05 | Form 6069 | 11 |
| Form 990-T (trust other than above) | 06 | Form 8870 | 12 |

• The books are in the care of ► [FINANCIAL MANAGEMENT](#)

Telephone No. ► **202-303-5028** Fax No. ►

• If the organization does not have an office or place of business in the United States, check this box ► ☐

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) If this is for the whole group, check this box . . . ► ☐ . If it is for part of the group, check this box . . . ► ☐ and attach a list with the names and TINs of all members the extension is for.

- 1** I request an automatic 6-month extension of time until **MAY 17**, 20 **21**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☐ calendar year 20 ____ or
- ☒ tax year beginning **JULY 1**, 20 **19**, and ending **JUNE 30**, 20 **20**.

- 2** If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

| | | | | |
|-----------|--|-----------|----|----------|
| 3a | If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. | 3a | \$ | 0 |
| b | If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit. | 3b | \$ | 0 |
| c | Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions. | 3c | \$ | 0 |

Caution: If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

PUBLIC INSPECTION COPY

AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT

53-0196605

Form 990 (2019)

Page **2****Part III** Statement of Program Service AccomplishmentsCheck if Schedule O contains a response or note to any line in this Part III ☐ Yes ☒ No**1** Briefly describe the organization's mission:

THE AMERICAN NATIONAL RED CROSS PREVENTS AND ALLEVIATES HUMAN
SUFFERING IN THE FACE OF EMERGENCIES BY MOBILIZING THE POWER OF
VOLUNTEERS AND THE GENEROSITY OF DONORS.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses \$ 1,733,455,419. including grants of \$) (Revenue \$ 1,731,503,851.)
BIOMEDICAL SERVICES - SEE SCHEDULE O

4b (Code:) (Expenses \$ 406,296,939. including grants of \$ 168,873,768.) (Revenue \$)
DOMESTIC DISASTER SERVICES - SEE SCHEDULE O

4c (Code:) (Expenses \$ 122,854,114. including grants of \$ 300,484.) (Revenue \$ 108,514,293.)
TRAINING SERVICES - SEE SCHEDULE O

4d Other program services (Describe on Schedule O.) ATTACHMENT 1
(Expenses \$ 158,777,286. including grants of \$ 66,580,504.) (Revenue \$)

4e Total program service expenses ► 2,421,383,758.

Part IV Checklist of Required Schedules

| | Yes | No |
|--|--------------|----|
| 1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A.</i> | 1 X | |
| 2 Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> (see instructions)? | 2 X | |
| 3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I.</i> | 3 | X |
| 4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II.</i> | 4 X | |
| 5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III.</i> | 5 | X |
| 6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I.</i> | 6 | X |
| 7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II.</i> | 7 | X |
| 8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III.</i> | 8 | X |
| 9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV.</i> | 9 | X |
| 10 Did the organization, directly or through a related organization, hold assets in donor-restricted endowments or in quasi endowments? <i>If "Yes," complete Schedule D, Part V.</i> | 10 X | |
| 11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable. | | |
| a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI.</i> | 11a X | |
| b Did the organization report an amount for investments-other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII.</i> | 11b X | |
| c Did the organization report an amount for investments-program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII.</i> | 11c | X |
| d Did the organization report an amount for other assets in Part X, line 15, that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX.</i> | 11d | X |
| e Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X.</i> | 11e X | |
| f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X.</i> | 11f X | |
| 12a Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII.</i> | 12a | X |
| b Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional.</i> | 12b X | |
| 13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E.</i> | 13 | X |
| 14a Did the organization maintain an office, employees, or agents outside of the United States? | 14a X | |
| b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV.</i> | 14b X | |
| 15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? <i>If "Yes," complete Schedule F, Parts II and IV.</i> | 15 X | |
| 16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV.</i> | 16 | X |
| 17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I (see instructions).</i> | 17 X | |
| 18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II.</i> | 18 X | |
| 19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III.</i> | 19 | X |
| 20a Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H.</i> | 20a | X |
| b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? | 20b | |
| 21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II.</i> | 21 X | |

PUBLIC INSPECTION COPY

AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT

53-0196605

Form 990 (2019)

Page **4****Part IV Checklist of Required Schedules** (continued)

| | Yes | No |
|---|-----|----|
| 22 Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i> | X | |
| 23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J.</i> | X | |
| 24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i> | X | |
| 24b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? | | X |
| 24c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? | | X |
| 24d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? | | X |
| 25a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i> | | X |
| 25b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i> | | X |
| 26 Did the organization report any amount on Part X, line 5 or 22, for receivables from or payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part II.</i> | | X |
| 27 Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity (including an employee thereof) or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i> | | X |
| 28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions, for applicable filing thresholds, conditions, and exceptions): | | |
| 28a A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? <i>If "Yes," complete Schedule L, Part IV</i> | | X |
| 28b A family member of any individual described in line 28a? <i>If "Yes," complete Schedule L, Part IV.</i> | | X |
| 28c A 35% controlled entity of one or more individuals and/or organizations described in lines 28a or 28b? <i>If "Yes," complete Schedule L, Part IV</i> | | X |
| 29 Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i> | X | |
| 30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i> | | X |
| 31 Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i> | | X |
| 32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II.</i> | | X |
| 33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I.</i> | X | |
| 34 Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1.</i> | X | |
| 35a Did the organization have a controlled entity within the meaning of section 512(b)(13)? | X | |
| 35b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i> | X | |
| 36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2.</i> | | X |
| 37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i> | | X |
| 38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note: All Form 990 filers are required to complete Schedule O. | X | |

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V

☒

| | Yes | No |
|--|-----|----|
| 1a Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable | | |
| 1b Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable | | |
| 1c Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? | X | |

Part V Statements Regarding Other IRS Filings and Tax Compliance (continued)

| | Yes | No |
|--|-------------|----|
| 2a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return. 2a 18,984 | | |
| b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note: If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions). | 2b X | |
| 3a Did the organization have unrelated business gross income of \$1,000 or more during the year? | 3a X | |
| b If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation on Schedule O | 3b | X |
| 4a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? | 4a X | |
| b If "Yes," enter the name of the foreign country ATTACHMENT 2 See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). | | |
| 5a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? | 5a | X |
| b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? | 5b | X |
| c If "Yes" to line 5a or 5b, did the organization file Form 8886-T? | 5c | |
| 6a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions? | 6a | X |
| b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? | 6b | |
| 7 Organizations that may receive deductible contributions under section 170(c). | | |
| a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor? | 7a X | |
| b If "Yes," did the organization notify the donor of the value of the goods or services provided? | 7b X | |
| c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282? | 7c | X |
| d If "Yes," indicate the number of Forms 8282 filed during the year 7d | | |
| e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | 7e | X |
| f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 7f | X |
| g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? | 7g | |
| h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? | 7h X | |
| 8 Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year? | 8 | |
| 9 Sponsoring organizations maintaining donor advised funds. | | |
| a Did the sponsoring organization make any taxable distributions under section 4966? | 9a | |
| b Did the sponsoring organization make a distribution to a donor, donor advisor, or related person? | 9b | |
| 10 Section 501(c)(7) organizations. Enter: | | |
| a Initiation fees and capital contributions included on Part VIII, line 12 10a | | |
| b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities 10b | | |
| 11 Section 501(c)(12) organizations. Enter: | | |
| a Gross income from members or shareholders 11a | | |
| b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.) 11b | | |
| 12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041? | 12a | |
| b If "Yes," enter the amount of tax-exempt interest received or accrued during the year 12b | | |
| 13 Section 501(c)(29) qualified nonprofit health insurance issuers. | | |
| a Is the organization licensed to issue qualified health plans in more than one state? Note: See the instructions for additional information the organization must report on Schedule O. | 13a | |
| b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans 13b | | |
| c Enter the amount of reserves on hand 13c | | |
| 14a Did the organization receive any payments for indoor tanning services during the tax year? | 14a | X |
| b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation on Schedule O | 14b | |
| 15 Is the organization subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? If "Yes," see instructions and file Form 4720, Schedule N. | 15 | X |
| 16 Is the organization an educational institution subject to the section 4968 excise tax on net investment income? If "Yes," complete Form 4720, Schedule O. | 16 | X |

PUBLIC INSPECTION COPY

Form 990 (2019)

AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT

53-0196605

Page 6

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes on Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI ☒ X

Section A. Governing Body and Management

| | | Yes | No |
|--|--------------|-----|----|
| 1a Enter the number of voting members of the governing body at the end of the tax year | 1a 16 | | |
| If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain on Schedule O. | | | |
| b Enter the number of voting members included on line 1a, above, who are independent. | 1b 15 | | |
| 2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? | 2 | | X |
| 3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, trustees, or key employees to a management company or other person? | 3 | | X |
| 4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? | 4 | X | |
| 5 Did the organization become aware during the year of a significant diversion of the organization's assets? | 5 | | X |
| 6 Did the organization have members or stockholders? | 6 | X | |
| 7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? | 7a | X | |
| b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body? | 7b | | X |
| 8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following: | | | |
| a The governing body? | 8a | X | |
| b Each committee with authority to act on behalf of the governing body? | 8b | X | |
| 9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses on Schedule O. | 9 | | X |

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

| | Yes | No |
|---|--------------|----|
| 10a Did the organization have local chapters, branches, or affiliates? | 10a X | |
| b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? . . . | 10b X | |
| 11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? . | 11a X | |
| b Describe in Schedule O the process, if any, used by the organization to review this Form 990. | | |
| 12a Did the organization have a written conflict of interest policy? If "No," go to line 13 | 12a X | |
| b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? | 12b X | |
| c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done | 12c X | |
| 13 Did the organization have a written whistleblower policy? | 13 X | |
| 14 Did the organization have a written document retention and destruction policy? | 14 X | |
| 15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? | | |
| a The organization's CEO, Executive Director, or top management official | 15a X | |
| b Other officers or key employees of the organization | 15b X | |
| If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions). | | |
| 16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? | 16a | X |
| b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? | 16b | |

Section C. Disclosure

- 17** List the states with which a copy of this Form 990 is required to be filed ►
- 18** Section 6104 requires an organization to make its Forms 1023 (1024 or 1024-A, if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
☒ Own website ☐ Another's website ☒ Upon request ☐ Other (explain on Schedule O)
- 19** Describe on Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
- 20** State the name, address, and telephone number of the person who possesses the organization's books and records ►

JENNIFER HAWKINS, 430 17TH STREET NW WASHINGTON, DC 20006

202-303-5028

JSA

9E1042 2.000

Form 990 (2019)

06583L 2502

V 19-8.1F

426054

PAGE 7

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent ContractorsCheck if Schedule O contains a response or note to any line in this Part VII ☒ X**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees****1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations. See instructions for the order in which to list the persons above.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

| (A) Name and title | (B) Average hours per week (list any hours for related organizations below dotted line) | (C) Position (do not check more than one box, unless person is both an officer and a director/trustee) | | | | | | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W-2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|--|--|--|-----------------------|---------|--------------|------------------------------|--------|--|---|---|
| | | Individual trustee or director | Institutional trustee | Officer | Key employee | Highest compensated employee | Former | | | |
| (1) GAIL MCGOVERN PRESIDENT & CEO | 60.00 0. | X | | X | | | | 737,971. | 0. | 12,852. |
| (2) CLIFFORD HOLTZ CHIEF OPERATING OFFICER | 60.00 0. | | | | X | | | 710,169. | 0. | 26,755. |
| (3) CHRIS HROUDA PRESIDENT, BIOMEDICAL SERVICES | 60.00 0. | | | | X | | | 658,645. | 0. | 26,759. |
| (4) SHAUN GILMORE CHIEF TRANSFORMATION OFFICER | 60.00 0. | | | | X | | | 629,427. | 0. | 27,485. |
| (5) BRIAN RHOA CHIEF FINANCIAL OFFICER | 60.00 0. | | | X | | | | 548,227. | 0. | 25,801. |
| (6) PAUL SULLIVAN SVP, COLLECTIONS | 60.00 0. | | | | | X | | 431,692. | 0. | 32,434. |
| (7) JACK MCMASTER PRESIDENT, TRAINING SERVICES | 60.00 0. | | | | | X | | 412,991. | 0. | 29,499. |
| (8) DON HERRING CHIEF DEVELOPMENT OFFICER | 60.00 0. | | | | X | | | 427,921. | 0. | 12,509. |
| (9) JENNIFER DO TECHNOLOGIST III, IRL | 60.00 0. | | | | | X | | 397,553. | 0. | 11,223. |
| (10) MELISSA HURST CHIEF HUMAN RESOURCES OFFICER | 60.00 0. | | | | X | | | 374,331. | 0. | 27,990. |
| (11) PHYLLIS HARRIS GEN COUNSEL | 60.00 0. | | | X | | | | 378,548. | 0. | 22,836. |
| (12) PETER GIAMALVA SVP, BIOMED SALES & MARKETING | 60.00 0. | | | | | X | | 366,588. | 0. | 26,350. |
| (13) PAMPEE YOUNG CHIEF MEDICAL OFFICER | 60.00 0. | | | | | X | | 374,133. | 0. | 15,300. |
| (14) NEAL LITVACK CHIEF MARKETING OFFICER | 60.00 0. | | | X | | | | 367,820. | 0. | 20,154. |

PUBLIC INSPECTION COPY

AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT

53-0196605

Form 990 (2019)

Page **8****Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** (continued)

| (A) Name and title | (B) Average hours per week (list any hours for related organizations below dotted line) | (C) Position (do not check more than one box, unless person is both an officer and a director/trustee) | | | | | | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W-2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|--|--|--|-----------------------|---------|--------------|------------------------------|--------|--|---|---|
| | | Individual trustee or director | Institutional trustee | Officer | Key employee | Highest compensated employee | Former | | | |
| (15) HARVEY JOHNSON PRESIDENT, HUMANITARIAN SERVIC | 60.00 0. | | | | X | | | 327,755. | 0. | 9,199. |
| (16) JENNIFER HAWKINS CORP SEC & CHIEF OF STAFF | 60.00 0. | | | X | | | | 252,714. | 0. | 15,536. |
| (17) JENNIFER BAILEY BOARD MEMBER | 4.00 0. | X | | | | | | 0. | 0. | 0. |
| (18) AJAY BANGA BOARD MEMBER | 3.00 0. | X | | | | | | 0. | 0. | 0. |
| (19) AFSANEH M. BESCHLOSS BOARD MEMBER | 2.00 0. | X | | | | | | 0. | 0. | 0. |
| (20) M. BRETT BIGGS BOARD MEMBER | 3.00 0. | X | | | | | | 0. | 0. | 0. |
| (21) DAVID A. BRANDON BOARD MEMBER | 4.00 0. | X | | | | | | 0. | 0. | 0. |
| (22) HERMAN E. BULLS BOARD MEMBER | 2.00 0. | X | | | | | | 0. | 0. | 0. |
| (23) DAVID H. CLARK BOARD MEMBER | 3.00 0. | X | | | | | | 0. | 0. | 0. |
| (24) STEVEN H. COLLIS BOARD MEMBER | 2.00 0. | X | | | | | | 0. | 0. | 0. |
| (25) ENRIQUE A. CONTERNO BOARD MEMBER | 4.00 0. | X | | | | | | 0. | 0. | 0. |
| 1b Sub-total | | | | | | | | 7,396,485. | 0. | 342,682. |
| c Total from continuation sheets to Part VII, Section A | | | | | | | | 0. | 0. | 0. |
| d Total (add lines 1b and 1c) | | | | | | | | 7,396,485. | 0. | 342,682. |

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ► 1576

- 3** Did the organization list any **former** officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual
- 4** For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual
- 5** Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person

| | Yes | No |
|----------|-----|----|
| 3 | | X |
| 4 | X | |
| 5 | | X |

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

| (A) Name and business address | (B) Description of services | (C) Compensation |
|----------------------------------|--------------------------------|---------------------|
| ATTACHMENT 3 | | |
| | | |
| | | |
| | | |
| | | |

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization ► 382

PUBLIC INSPECTION COPY

AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT

53-0196605

Form 990 (2019)

Page **8**

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

| (A) Name and title | (B) Average hours per week (list any hours for related organizations below dotted line) | (C) Position (do not check more than one box, unless person is both an officer and a director/trustee) | | | | | | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W-2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|--|--|--|-----------------------|---------|--------------|------------------------------|--------|--|---|---|
| | | Individual trustee or director | Institutional trustee | Officer | Key employee | Highest compensated employee | Former | | | |
| (26) Y. MICHELE KANG BOARD MEMBER | 2.00 0. | X | | | | | | 0. | 0. | 0. |
| (27) JOSEPH E. MADISON BOARD MEMBER | 2.00 0. | X | | | | | | 0. | 0. | 0. |
| (28) BONNIE MCELVEEN-HUNTER BOARD MEMBER | 10.00 0. | X | | | | | | 0. | 0. | 0. |
| (29) JOHNNY C. TAYLOR, JR. BOARD MEMBER | 2.00 0. | X | | | | | | 0. | 0. | 0. |
| (30) DAVID A. THOMAS BOARD MEMBER | 2.00 0. | X | | | | | | 0. | 0. | 0. |
| (31) KIRT A. WALKER BOARD MEMBER | 4.00 0. | X | | | | | | 0. | 0. | 0. |
| (32) DENNIS M. WOODSIDE BOARD MEMBER | 4.00 0. | X | | | | | | 0. | 0. | 0. |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| 1b Sub-total | | | | | | | | 0. | 0. | 0. |
| c Total from continuation sheets to Part VII, Section A | | | | | | | | | | |
| d Total (add lines 1b and 1c) | | | | | | | | | | |

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **1576**

- 3** Did the organization list any **former** officer, director, or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*
- 4** For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? *If "Yes," complete Schedule J for such individual*
- 5** Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person*

| | Yes | No |
|----------|-----|----|
| 3 | | X |
| 4 | X | |
| 5 | | X |

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

| (A) Name and business address | (B) Description of services | (C) Compensation |
|----------------------------------|--------------------------------|---------------------|
| | | |
| | | |
| | | |
| | | |

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization **▶**

PUBLIC INSPECTION COPY

Part VIII Statement of RevenueCheck if Schedule O contains a response or note to any line in this Part VIII ☐

| | | | | (A) Total revenue | (B) Related or exempt function revenue | (C) Unrelated business revenue | (D) Revenue excluded from tax under sections 512-514 |
|---|--|---|----------------|--------------------------------|--|--------------------------------------|---|
| Contributions, Gifts, Grants and Other Similar Amounts | 1a | Federated campaigns | 1a | 33,520,753. | | | |
| | b | Membership dues | 1b | | | | |
| | c | Fundraising events | 1c | 14,160,815. | | | |
| | d | Related organizations | 1d | | | | |
| | e | Government grants (contributions) . . | 1e | 115,125,624. | | | |
| | f | All other contributions, gifts, grants, and similar amounts not included above . | 1f | 724,978,563. | | | |
| | g | Noncash contributions included in lines 1a-1f. | 1g | \$ 16,081,964. | | | |
| | h | Total. Add lines 1a-1f | | 887,785,755. | | | |
| | Program Service Revenue | | | | Business Code | | |
| 2a | | BIOMEDICAL PRODUCTS AND SERVICES | | 541900 | 1,731,503,851. | 1,731,503,851. | |
| b | | OTHER PRODUCTS AND SERVICES | | 900099 | 108,514,293. | 108,514,293. | |
| c | | | | | | | |
| d | | | | | | | |
| e | | | | | | | |
| f | | All other program service revenue | | | | | |
| g | | Total. Add lines 2a-2f | | 1,840,018,144. | | | |
| Other Revenue | 3 | Investment income (including dividends, interest, and other similar amounts). | | 44,576,430. | | -186,695. | 44,763,125. |
| | 4 | Income from investment of tax-exempt bond proceeds . | | 0. | | | |
| | 5 | Royalties | | 0. | | | |
| | 6a | Gross rents | 6a | (i) Real 25,128,547. | | | |
| | b | Less: rental expenses | 6b | | | | |
| | c | Rental income or (loss) | 6c | 25,128,547. | | | |
| | d | Net rental income or (loss) | | 25,128,547. | | | 25,128,547. |
| | 7a | Gross amount from sales of assets other than inventory | 7a | (i) Securities 134,495,269. | (ii) Other 22,956,765. | | |
| | b | Less: cost or other basis and sales expenses . . | 7b | 134,520,242. | 12,125,970. | | |
| | c | Gain or (loss) | 7c | -24,973. | 10,830,795. | | |
| | d | Net gain or (loss) | | 10,805,822. | | | 10,805,822. |
| | 8a | Gross income from fundraising events (not including \$ 14,160,815. of contributions reported on line 1c). See Part IV, line 18 | 8a | 2,092,433. | | | |
| | b | Less: direct expenses | 8b | 3,134,950. | | | |
| | c | Net income or (loss) from fundraising events. | | -1,042,517. | | | -1,042,517. |
| | 9a | Gross income from gaming activities. See Part IV, line 19 | 9a | 0. | | | |
| | b | Less: direct expenses | 9b | 0. | | | |
| | c | Net income or (loss) from gaming activities. | | 0. | | | |
| 10a | Gross sales of inventory, less returns and allowances | 10a | 0. | | | | |
| b | Less: cost of goods sold | 10b | 0. | | | | |
| c | Net income or (loss) from sales of inventory. | | 0. | | | | |
| Miscellaneous Revenue | | | | Business Code | | | |
| | 11a | OTHER MISCELLANEOUS REVENUE | | 900099 | 32,137,065. | 24,907,476. | 7,229,589. |
| | b | | | | | | |
| | c | | | | | | |
| | d | All other revenue | | | | | |
| | e | Total. Add lines 11a-11d | | 32,137,065. | | | |
| 12 | Total revenue. See instructions | | 2,839,409,246. | 1,864,925,620. | 7,042,894. | 79,654,977. | |

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX ☐**Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.**

| | (A) Total expenses | (B) Program service expenses | (C) Management and general expenses | (D) Fundraising expenses |
|--|-----------------------|------------------------------------|---|--------------------------------|
| 1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21 | 0. | | | |
| 2 Grants and other assistance to domestic individuals. See Part IV, line 22 | 173,413,180. | 173,413,180. | | |
| 3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16 | 62,341,576. | 62,341,576. | | |
| 4 Benefits paid to or for members | 0. | | | |
| 5 Compensation of current officers, directors, trustees, and key employees | 5,988,644. | 1,757,340. | 3,381,537. | 849,767. |
| 6 Compensation not included above to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) | 0. | | | |
| 7 Other salaries and wages | 1,099,816,849. | 971,254,582. | 41,853,316. | 86,708,951. |
| 8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions) | 30,504,395. | 26,869,680. | 1,221,761. | 2,412,954. |
| 9 Other employee benefits | 143,751,337. | 126,622,820. | 5,757,522. | 11,370,995. |
| 10 Payroll taxes | 79,456,419. | 69,988,885. | 3,182,385. | 6,285,149. |
| 11 Fees for services (nonemployees): | | | | |
| a Management | 0. | | | |
| b Legal | 2,827,262. | 1,861,308. | 643,517. | 322,437. |
| c Accounting | 1,524,685. | 1,053,747. | 406,423. | 64,515. |
| d Lobbying | 285,646. | 187,357. | 13,055. | 85,234. |
| e Professional fundraising services. See Part IV, line 17. | 123,953. | | | 123,953. |
| f Investment management fees | 0. | | | |
| g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O.) | 200,537,345. | 148,720,178. | 9,744,482. | 42,072,685. |
| 12 Advertising and promotion | 14,396,227. | 13,065,332. | 355,093. | 975,802. |
| 13 Office expenses | 95,198,600. | 89,563,167. | 4,080,564. | 1,554,869. |
| 14 Information technology. | 48,546,345. | 39,715,563. | 6,744,749. | 2,086,033. |
| 15 Royalties. | 0. | | | |
| 16 Occupancy | 63,717,530. | 53,924,408. | 5,712,772. | 4,080,350. |
| 17 Travel | 48,377,943. | 44,458,889. | 1,256,059. | 2,662,995. |
| 18 Payments of travel or entertainment expenses for any federal, state, or local public officials | 0. | | | |
| 19 Conferences, conventions, and meetings | 4,302,007. | 3,172,755. | 139,036. | 990,216. |
| 20 Interest | 37,063,798. | 34,361,025. | 835,885. | 1,866,888. |
| 21 Payments to affiliates. | 0. | | | |
| 22 Depreciation, depletion, and amortization | 85,239,629. | 78,182,868. | 1,113,049. | 5,943,712. |
| 23 Insurance | 26,199,809. | 24,240,852. | 1,346,113. | 612,844. |
| 24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses on line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.) | | | | |
| a BIOMED SUPPLY & BLOOD TESTIN | 438,161,541. | 438,161,541. | | |
| b OTHER PROGRAM SUPPLIES | 18,787,870. | 16,114,288. | 1,341,328. | 1,332,254. |
| c OTHER | 3,045,926. | 2,352,417. | 406,140. | 287,369. |
| d _____ | | | | |
| e All other expenses _____ | | | | |
| 25 Total functional expenses. Add lines 1 through 24e | 2,683,608,516. | 2,421,383,758. | 89,534,786. | 172,689,972. |
| 26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720) | 0. | | | |

PUBLIC INSPECTION COPY

AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT

53-0196605

Form 990 (2019)

Page **11****Part X Balance Sheet**Check if Schedule O contains a response or note to any line in this Part X ☒ X

| | | (A) Beginning of year | | (B) End of year |
|--|--|---------------------------|----------------|--------------------|
| Assets | 1 Cash - non-interest-bearing | 101,100,808. | 1 | 235,415,378. |
| | 2 Savings and temporary cash investments. | 460,187,222. | 2 | 348,443,185. |
| | 3 Pledges and grants receivable, net | 46,637,314. | 3 | 48,558,920. |
| | 4 Accounts receivable, net. | 196,172,063. | 4 | 286,464,352. |
| | 5 Loans and other receivables from any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons | 0. | 5 | 0. |
| | 6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), and persons described in section 4958(c)(3)(B). | 0. | 6 | 0. |
| | 7 Notes and loans receivable, net | 0. | 7 | 0. |
| | 8 Inventories for sale or use | 38,800,370. | 8 | 46,998,213. |
| | 9 Prepaid expenses and deferred charges | 304,934,330. | 9 | 312,588,241. |
| | 10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D | 10a 1,745,719,832. | | |
| | b Less: accumulated depreciation. | 10b 959,240,390. | | |
| | 11 Investments - publicly traded securities. | 834,206,292. | 10c | 786,479,442. |
| | 12 Investments - other securities. See Part IV, line 11. | 440,595,650. | 11 | 411,966,318. |
| | 13 Investments - program-related. See Part IV, line 11. | 627,270,000. | 12 | 675,511,000. |
| | 14 Intangible assets | 0. | 13 | 0. |
| | 15 Other assets. See Part IV, line 11 | 0. | 14 | 0. |
| 16 Total assets. Add lines 1 through 15 (must equal line 33) | 0. | 15 | 114,474,332. | |
| 17 Accounts payable and accrued expenses. | 3,049,904,049. | 16 | 3,266,899,381. | |
| Liabilities | 18 Grants payable | 272,134,174. | 17 | 253,123,187. |
| | 19 Deferred revenue. | 0. | 18 | 0. |
| | 20 Tax-exempt bond liabilities. | 0. | 19 | 0. |
| | 21 Escrow or custodial account liability. Complete Part IV of Schedule D. | 51,125,000. | 20 | 47,390,000. |
| | 22 Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons | 0. | 21 | 0. |
| | 23 Secured mortgages and notes payable to unrelated third parties | 0. | 22 | 0. |
| | 24 Unsecured notes and loans payable to unrelated third parties. | 13,076,106. | 23 | 7,906,901. |
| | 25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D | 517,100,000. | 24 | 708,884,329. |
| | 26 Total liabilities. Add lines 17 through 25. | 754,304,409. | 25 | 437,379,855. |
| | 27 Net assets without donor restrictions | 1,607,739,689. | 26 | 1,454,684,272. |
| Net Assets or Fund Balances | Organizations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> X | | | |
| | 28 Net assets with donor restrictions. | -5,468,828. | 27 | 390,796,728. |
| | Organizations that do not follow FASB ASC 958, check here <input type="checkbox"/> | 1,447,633,188. | 28 | 1,421,418,381. |
| | 29 Capital stock or trust principal, or current funds | | 29 | |
| | 30 Paid-in or capital surplus, or land, building, or equipment fund. | | 30 | |
| | 31 Retained earnings, endowment, accumulated income, or other funds. | | 31 | |
| | 32 Total net assets or fund balances | 1,442,164,360. | 32 | 1,812,215,109. |
| 33 Total liabilities and net assets/fund balances. | 3,049,904,049. | 33 | 3,266,899,381. | |

Form **990** (2019)

Part XI Reconciliation of Net AssetsCheck if Schedule O contains a response or note to any line in this Part XI ☒

| | | | |
|-----------|--|-----------|----------------|
| 1 | Total revenue (must equal Part VIII, column (A), line 12) | 1 | 2,839,409,246. |
| 2 | Total expenses (must equal Part IX, column (A), line 25) | 2 | 2,683,608,516. |
| 3 | Revenue less expenses. Subtract line 2 from line 1 | 3 | 155,800,730. |
| 4 | Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A)) | 4 | 1,442,164,360. |
| 5 | Net unrealized gains (losses) on investments | 5 | 2,415,807. |
| 6 | Donated services and use of facilities | 6 | 0. |
| 7 | Investment expenses | 7 | 0. |
| 8 | Prior period adjustments | 8 | 0. |
| 9 | Other changes in net assets or fund balances (explain on Schedule O). | 9 | 211,834,212. |
| 10 | Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 32, column (B)) | 10 | 1,812,215,109. |

Part XII Financial Statements and ReportingCheck if Schedule O contains a response or note to any line in this Part XII. ☐

- 1** Accounting method used to prepare the Form 990: ☐ Cash ☒ Accrual ☐ Other _____
If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.
- 2a** Were the organization's financial statements compiled or reviewed by an independent accountant?
If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both:
☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis
- b** Were the organization's financial statements audited by an independent accountant?
If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both:
☐ Separate basis ☒ Consolidated basis ☐ Both consolidated and separate basis
- c** If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?
If the organization changed either its oversight process or selection process during the tax year, explain on Schedule O.
- 3a** As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?
- b** If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why on Schedule O and describe any steps taken to undergo such audits . . .

| | Yes | No |
|-----------|-----|----|
| 2a | | X |
| 2b | X | |
| 2c | X | |
| 3a | X | |
| 3b | X | |

Form **990** (2019)

PUBLIC INSPECTION COPY

SCHEDULE A
(Form 990 or 990-EZ)Department of the Treasury
Internal Revenue Service**Public Charity Status and Public Support**

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019**Open to Public
Inspection**Name of the organization **AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT
CHAPTERS AND BRANCHES**Employer identification number
53-0196605**Part I Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E (Form 990 or 990-EZ).)
- 3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state: _____
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 9 ☐ An agricultural research organization described in **section 170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions). Enter the name, city, and state of the college or university: _____
- 10 ☐ An organization that normally receives: (1) more than 33 1/3 % of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3 % of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)
- 11 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**
- 12 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2).** See **section 509(a)(3).** Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
- a ☐ **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
- b ☐ **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
- c ☐ **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
- d ☐ **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
- e ☐ Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.

f Enter the number of supported organizations

g Provide the following information about the supported organization(s).

| (i) Name of supported organization | (ii) EIN | (iii) Type of organization (described on lines 1-10 above (see instructions)) | (iv) Is the organization listed in your governing document? | | (v) Amount of monetary support (see instructions) | (vi) Amount of other support (see instructions) |
|------------------------------------|----------|---|---|----|---|---|
| | | | Yes | No | | |
| (A) | | | | | | |
| (B) | | | | | | |
| (C) | | | | | | |
| (D) | | | | | | |
| (E) | | | | | | |
| Total | | | | | | |

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2019

PUBLIC INSPECTION COPY

AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT

53-0196605

Schedule A (Form 990 or 990-EZ) 2019

Page **2**

Part II **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

| Calendar year (or fiscal year beginning in) ► | (a) 2015 | (b) 2016 | (c) 2017 | (d) 2018 | (e) 2019 | (f) Total |
|---|--------------|--------------|----------------|--------------|--------------|----------------|
| 1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") | 637,862,655. | 700,040,441. | 1,508,477,297. | 817,365,759. | 887,785,755. | 4,551,531,907. |
| 2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | 0. |
| 3 The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | 0. |
| 4 Total. Add lines 1 through 3. | 637,862,655. | 700,040,441. | 1,508,477,297. | 817,365,759. | 887,785,755. | 4,551,531,907. |
| 5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f). | | | | | | 0. |
| 6 Public support. Subtract line 5 from line 4 | | | | | | 4,551,531,907. |

Section B. Total Support

| Calendar year (or fiscal year beginning in) ► | (a) 2015 | (b) 2016 | (c) 2017 | (d) 2018 | (e) 2019 | (f) Total |
|---|--------------|--------------|----------------|--------------|--------------|--------------------------|
| 7 Amounts from line 4. | 637,862,655. | 700,040,441. | 1,508,477,297. | 817,365,759. | 887,785,755. | 4,551,531,907. |
| 8 Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources | 66,839,043. | 58,953,690. | 171,118,839. | 77,336,123. | 69,704,977. | 443,952,672. |
| 9 Net income from unrelated business activities, whether or not the business is regularly carried on | | | | | | 0. |
| 10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) . ATCH. 1 | 4,852,030. | 5,372,243. | 4,953,228. | 4,572,337. | 2,092,433. | 21,842,271. |
| 11 Total support. Add lines 7 through 10 | | | | | | 5,017,326,850. |
| 12 Gross receipts from related activities, etc. (see instructions) | | | | | 12 | 9,369,518,463. |
| 13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here | | | | | | <input type="checkbox"/> |

Section C. Computation of Public Support Percentage

| | | |
|--|-----------|-------------------------------------|
| 14 Public support percentage for 2019 (line 6, column (f) divided by line 11, column (f)). | 14 | 90.72 % |
| 15 Public support percentage from 2018 Schedule A, Part II, line 14 | 15 | 90.20 % |
| 16a 33 1/3% support test - 2019. If the organization did not check the box on line 13, and line 14 is 33 1/3 % or more, check this box and stop here . The organization qualifies as a publicly supported organization. | | <input checked="" type="checkbox"/> |
| b 33 1/3% support test - 2018. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3 % or more, check this box and stop here . The organization qualifies as a publicly supported organization | | <input type="checkbox"/> |
| 17a 10%-facts-and-circumstances test - 2019. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here . Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization. | | <input type="checkbox"/> |
| b 10%-facts-and-circumstances test - 2018. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here . Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization | | <input type="checkbox"/> |
| 18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions | | <input type="checkbox"/> |

Schedule A (Form 990 or 990-EZ) 2019

PUBLIC INSPECTION COPY

AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT

53-0196605

Schedule A (Form 990 or 990-EZ) 2019

Page **3****Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II.
If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

| Calendar year (or fiscal year beginning in) ► | (a) 2015 | (b) 2016 | (c) 2017 | (d) 2018 | (e) 2019 | (f) Total |
|---|----------|----------|----------|----------|----------|-----------|
| 1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") | | | | | | |
| 2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose | | | | | | |
| 3 Gross receipts from activities that are not an unrelated trade or business under section 513 | | | | | | |
| 4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | |
| 5 The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | |
| 6 Total. Add lines 1 through 5. | | | | | | |
| 7a Amounts included on lines 1, 2, and 3 received from disqualified persons | | | | | | |
| b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year | | | | | | |
| c Add lines 7a and 7b. | | | | | | |
| 8 Public support. (Subtract line 7c from line 6.) | | | | | | |

Section B. Total Support

| Calendar year (or fiscal year beginning in) ► | (a) 2015 | (b) 2016 | (c) 2017 | (d) 2018 | (e) 2019 | (f) Total |
|---|----------|----------|----------|----------|----------|-----------|
| 9 Amounts from line 6. | | | | | | |
| 10a Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources | | | | | | |
| b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 | | | | | | |
| c Add lines 10a and 10b | | | | | | |
| 11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on | | | | | | |
| 12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) | | | | | | |
| 13 Total support. (Add lines 9, 10c, 11, and 12.) | | | | | | |
| 14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here <input type="checkbox"/> | | | | | | |

Section C. Computation of Public Support Percentage

| | | |
|---|-----------|---|
| 15 Public support percentage for 2019 (line 8, column (f), divided by line 13, column (f)) | 15 | % |
| 16 Public support percentage from 2018 Schedule A, Part III, line 15 | 16 | % |

Section D. Computation of Investment Income Percentage

| | | |
|--|-----------|---|
| 17 Investment income percentage for 2019 (line 10c, column (f), divided by line 13, column (f)). | 17 | % |
| 18 Investment income percentage from 2018 Schedule A, Part III, line 17 | 18 | % |

19a 33 1/3% support tests - 2019. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization. ☐

b 33 1/3% support tests - 2018. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization. ☐

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ☐

Part IV Supporting Organizations

(Complete only if you checked a box in line 12 on Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

| | Yes | No |
|---|-----|----|
| 1 Are all of the organization's supported organizations listed by name in the organization's governing documents? <i>If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.</i> | | |
| 2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? <i>If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).</i> | | |
| 3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? <i>If "Yes," answer (b) and (c) below.</i> | | |
| b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? <i>If "Yes," describe in Part VI when and how the organization made the determination.</i> | | |
| c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? <i>If "Yes," explain in Part VI what controls the organization put in place to ensure such use.</i> | | |
| 4a Was any supported organization not organized in the United States ("foreign supported organization")? <i>If "Yes," and if you checked 12a or 12b in Part I, answer (b) and (c) below.</i> | | |
| b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? <i>If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.</i> | | |
| c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? <i>If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.</i> | | |
| 5a Did the organization add, substitute, or remove any supported organizations during the tax year? <i>If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).</i> | | |
| b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document? | | |
| c Substitutions only. Was the substitution the result of an event beyond the organization's control? | | |
| 6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? <i>If "Yes," provide detail in Part VI.</i> | | |
| 7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (as defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i> | | |
| 8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i> | | |
| 9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? <i>If "Yes," provide detail in Part VI.</i> | | |
| b Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? <i>If "Yes," provide detail in Part VI.</i> | | |
| c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? <i>If "Yes," provide detail in Part VI.</i> | | |
| 10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? <i>If "Yes," answer 10b below.</i> | | |
| b Did the organization have any excess business holdings in the tax year? <i>(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)</i> | | |

Part IV Supporting Organizations (continued)

| | Yes | No |
|--|-----|----|
| 11 Has the organization accepted a gift or contribution from any of the following persons? | | |
| a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization? | | |
| b A family member of a person described in (a) above? | | |
| c A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI . | | |

Section B. Type I Supporting Organizations

| | Yes | No |
|---|-----|----|
| 1 Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year. | | |
| 2 Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization. | | |

Section C. Type II Supporting Organizations

| | Yes | No |
|--|-----|----|
| 1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s). | | |

Section D. All Type III Supporting Organizations

| | Yes | No |
|---|-----|----|
| 1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided? | | |
| 2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s). | | |
| 3 By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organizations played in this regard. | | |

Section E. Type III Functionally Integrated Supporting Organizations

| | | | |
|---|--|-----|----|
| 1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions). | | | |
| a <input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below. | | | |
| b <input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below. | | | |
| c <input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions). | | | |
| 2 Activities Test. Answer (a) and (b) below. | | Yes | No |
| a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities. | | | |
| b Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement. | | | |
| 3 Parent of Supported Organizations. Answer (a) and (b) below. | | | |
| a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI . | | | |
| b Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? If "Yes," describe in Part VI the role played by the organization in this regard. | | | |

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

- 1** ☐ Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI). **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

| Section A - Adjusted Net Income | | (A) Prior Year | (B) Current Year (optional) |
|---|-----------|----------------|-----------------------------|
| 1 Net short-term capital gain | 1 | | |
| 2 Recoveries of prior-year distributions | 2 | | |
| 3 Other gross income (see instructions) | 3 | | |
| 4 Add lines 1 through 3. | 4 | | |
| 5 Depreciation and depletion | 5 | | |
| 6 Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions) | 6 | | |
| 7 Other expenses (see instructions) | 7 | | |
| 8 Adjusted Net Income (subtract lines 5, 6, and 7 from line 4) | 8 | | |
| Section B - Minimum Asset Amount | | (A) Prior Year | (B) Current Year (optional) |
| 1 Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year): | | | |
| a Average monthly value of securities | 1a | | |
| b Average monthly cash balances | 1b | | |
| c Fair market value of other non-exempt-use assets | 1c | | |
| d Total (add lines 1a, 1b, and 1c) | 1d | | |
| e Discount claimed for blockage or other factors (explain in detail in Part VI): | | | |
| 2 Acquisition indebtedness applicable to non-exempt-use assets | 2 | | |
| 3 Subtract line 2 from line 1d. | 3 | | |
| 4 Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions). | 4 | | |
| 5 Net value of non-exempt-use assets (subtract line 4 from line 3) | 5 | | |
| 6 Multiply line 5 by .035. | 6 | | |
| 7 Recoveries of prior-year distributions | 7 | | |
| 8 Minimum Asset Amount (add line 7 to line 6) | 8 | | |
| Section C - Distributable Amount | | | Current Year |
| 1 Adjusted net income for prior year (from Section A, line 8, Column A) | 1 | | |
| 2 Enter 85% of line 1. | 2 | | |
| 3 Minimum asset amount for prior year (from Section B, line 8, Column A) | 3 | | |
| 4 Enter greater of line 2 or line 3. | 4 | | |
| 5 Income tax imposed in prior year | 5 | | |
| 6 Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions). | 6 | | |
| 7 <input type="checkbox"/> Check here if the current year is the organization's first as a non-functionally integrated Type III supporting organization (see instructions). | | | |

Schedule A (Form 990 or 990-EZ) 2019

PUBLIC INSPECTION COPY

AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT

53-0196605

Schedule A (Form 990 or 990-EZ) 2019

Page **7****Part V** Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

| Section D - Distributions | | Current Year | |
|---------------------------|--|--------------|--|
| 1 | Amounts paid to supported organizations to accomplish exempt purposes | | |
| 2 | Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity | | |
| 3 | Administrative expenses paid to accomplish exempt purposes of supported organizations | | |
| 4 | Amounts paid to acquire exempt-use assets | | |
| 5 | Qualified set-aside amounts (prior IRS approval required) | | |
| 6 | Other distributions (describe in Part VI). See instructions. | | |
| 7 | Total annual distributions. Add lines 1 through 6. | | |
| 8 | Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions. | | |
| 9 | Distributable amount for 2019 from Section C, line 6 | | |
| 10 | Line 8 amount divided by line 9 amount | | |

| Section E - Distribution Allocations (see instructions) | | (i) Excess Distributions | (ii) Underdistributions Pre-2019 | (iii) Distributable Amount for 2019 |
|---|---|-----------------------------|--|---|
| 1 | Distributable amount for 2019 from Section C, line 6 | | | |
| 2 | Underdistributions, if any, for years prior to 2019 (reasonable cause required - explain in Part VI). See instructions. | | | |
| 3 | Excess distributions carryover, if any, to 2019 | | | |
| a | From 2014 | | | |
| b | From 2015 | | | |
| c | From 2016 | | | |
| d | From 2017 | | | |
| e | From 2018 | | | |
| f | Total of lines 3a through e | | | |
| g | Applied to underdistributions of prior years | | | |
| h | Applied to 2019 distributable amount | | | |
| i | Carryover from 2014 not applied (see instructions) | | | |
| j | Remainder. Subtract lines 3g, 3h, and 3i from 3f. | | | |
| 4 | Distributions for 2019 from Section D, line 7: \$ | | | |
| a | Applied to underdistributions of prior years | | | |
| b | Applied to 2019 distributable amount | | | |
| c | Remainder. Subtract lines 4a and 4b from 4. | | | |
| 5 | Remaining underdistributions for years prior to 2019, if any. Subtract lines 3g and 4a from line 2. For result greater than zero, explain in Part VI . See instructions. | | | |
| 6 | Remaining underdistributions for 2019. Subtract lines 3h and 4b from line 1. For result greater than zero, explain in Part VI . See instructions. | | | |
| 7 | Excess distributions carryover to 2020. Add lines 3j and 4c. | | | |
| 8 | Breakdown of line 7: | | | |
| a | Excess from 2015 | | | |
| b | Excess from 2016 | | | |
| c | Excess from 2017 | | | |
| d | Excess from 2018 | | | |
| e | Excess from 2019 | | | |

Schedule A (Form 990 or 990-EZ) 2019

PUBLIC INSPECTION COPY

AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT

53-0196605

Schedule A (Form 990 or 990-EZ) 2019

Page **8**

Part VI **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)

ATTACHMENT 1

SCHEDULE A, PART II - OTHER INCOME

| DESCRIPTION | 2015 | 2016 | 2017 | 2018 | 2019 | TOTAL |
|--------------------------------|-------------------|-------------------|-------------------|-------------------|-------------------|--------------------|
| SPECIAL EVENT & GAMING REVENUE | 4,852,030. | 5,372,243. | 4,953,228. | 4,572,337. | 2,092,433. | 21,842,271. |
| TOTALS | <u>4,852,030.</u> | <u>5,372,243.</u> | <u>4,953,228.</u> | <u>4,572,337.</u> | <u>2,092,433.</u> | <u>21,842,271.</u> |

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ **Go to www.irs.gov/Form990 for the latest information.**

OMB No. 1545-0047

2019

Name of the organization

AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT
CHAPTERS AND BRANCHES

Employer identification number

53-0196605

Organization type (check one):**Filers of:****Section:**

Form 990 or 990-EZ

☒ 501(c)(3) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☐ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☐
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☒ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000; or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2019)

JSA

9E1251 1.000

PUBLIC INSPECTION COPY

Schedule B (Form 990, 990-EZ, or 990-PF) (2019)

Page **2**

Name of organization **AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT CHAPTERS AND BRANCHES**

Employer identification number
53-0196605

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
|------------|-----------------------------------|----------------------------|--|
| 1 | | \$ 72,197,193. | <div style="display: flex; justify-content: space-between;"> <div> Person Payroll Noncash </div> <div style="text-align: center;"> <input checked="checked" type="checkbox"/> </div> </div> <p>(Complete Part II for noncash contributions.)</p> |
| 2 | | \$ 23,518,226. | <div style="display: flex; justify-content: space-between;"> <div> Person Payroll Noncash </div> <div style="text-align: center;"> <input checked="checked" type="checkbox"/> </div> </div> <p>(Complete Part II for noncash contributions.)</p> |
| | | \$ | <div style="display: flex; justify-content: space-between;"> <div> Person Payroll Noncash </div> <div style="text-align: center;"> </div> </div> <p>(Complete Part II for noncash contributions.)</p> |
| | | \$ | <div style="display: flex; justify-content: space-between;"> <div> Person Payroll Noncash </div> <div style="text-align: center;"> </div> </div> <p>(Complete Part II for noncash contributions.)</p> |
| | | \$ | <div style="display: flex; justify-content: space-between;"> <div> Person Payroll Noncash </div> <div style="text-align: center;"> </div> </div> <p>(Complete Part II for noncash contributions.)</p> |
| | | \$ | <div style="display: flex; justify-content: space-between;"> <div> Person Payroll Noncash </div> <div style="text-align: center;"> </div> </div> <p>(Complete Part II for noncash contributions.)</p> |

PUBLIC INSPECTION COPY

Schedule B (Form 990, 990-EZ, or 990-PF) (2019)

Page **3**

Name of organization **AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT CHAPTERS AND BRANCHES**

Employer identification number
53-0196605

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions.) | (d) Date received |
|---------------------------|--|---|----------------------|
| | | \$ | |
| | | \$ | |
| | | \$ | |
| | | \$ | |
| | | \$ | |
| | | \$ | |
| | | \$ | |

PUBLIC INSPECTION COPY

Schedule B (Form 990, 990-EZ, or 990-PF) (2019)

Page **4**

Name of organization **AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT CHAPTERS AND BRANCHES**

Employer identification number
53-0196605

Part III **Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor.** Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ► \$ _____
Use duplicate copies of Part III if additional space is needed.

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---------------------|---|---|---|
| | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> |
| | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> |
| | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP + 4 | | Relationship of transferor to transferee |
| | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> |
| | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> |
| | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> |
| | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> |
| | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> |
| | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP + 4 | | Relationship of transferor to transferee |
| | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> |
| | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> |
| | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> |
| | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> |
| | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> |
| | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP + 4 | | Relationship of transferor to transferee |
| | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> |
| | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> |
| | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> |
| | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> |
| | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> |
| | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP + 4 | | Relationship of transferor to transferee |
| | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> |
| | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> |
| | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> | <div style="border-bottom: 1px solid black; height: 15px;"></div> |

PUBLIC INSPECTION COPY

SCHEDULE C (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

- ▶ **Complete if the organization is described below.** ▶ **Attach to Form 990 or Form 990-EZ.**
▶ **Go to www.irs.gov/Form990 for instructions and the latest information.**

OMB No. 1545-0047

2019

**Open to Public
Inspection**

If the organization answered "Yes," on Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes," on Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," on Form 990, Part IV, line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of organization **AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT
CHAPTERS AND BRANCHES**

Employer identification number
53-0196605

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV. (see instructions for definition of "political campaign activities")
- 2 Political campaign activity expenditures (see instructions) ▶ \$ _____
- 3 Volunteer hours for political campaign activities (see instructions)

Part I-B Complete if the organization is exempt under section 501(c)(3).

- 1 Enter the amount of any excise tax incurred by the organization under section 4955. ▶ \$ _____
- 2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ \$ _____
- 3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ Yes ☐ No
- 4a Was a correction made? ☐ Yes ☐ No
- b If "Yes," describe in Part IV.

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities. ▶ \$ _____
- 2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities. ▶ \$ _____
- 3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b ▶ \$ _____
- 4 Did the filing organization file **Form 1120-POL** for this year? ☐ Yes ☐ No
- 5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

| (a) Name | (b) Address | (c) EIN | (d) Amount paid from filing organization's funds. If none, enter -0-. | (e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-. |
|----------|-------------|---------|---|--|
| (1) | | | | |
| (2) | | | | |
| (3) | | | | |
| (4) | | | | |
| (5) | | | | |
| (6) | | | | |

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule C (Form 990 or 990-EZ) 2019

Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

A Check ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).

B Check ☐ if the filing organization checked box A and "limited control" provisions apply.

| Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.) | | (a) Filing organization's totals | (b) Affiliated group totals |
|--|--|----------------------------------|-----------------------------|
| 1a Total lobbying expenditures to influence public opinion (grassroots lobbying) | | | |
| b Total lobbying expenditures to influence a legislative body (direct lobbying) | | | |
| c Total lobbying expenditures (add lines 1a and 1b) | | | |
| d Other exempt purpose expenditures | | | |
| e Total exempt purpose expenditures (add lines 1c and 1d) | | | |
| f Lobbying nontaxable amount. Enter the amount from the following table in both columns. | | | |
| If the amount on line 1e, column (a) or (b) is: | The lobbying nontaxable amount is: | | |
| Not over \$500,000 | 20% of the amount on line 1e. | | |
| Over \$500,000 but not over \$1,000,000 | \$100,000 plus 15% of the excess over \$500,000. | | |
| Over \$1,000,000 but not over \$1,500,000 | \$175,000 plus 10% of the excess over \$1,000,000. | | |
| Over \$1,500,000 but not over \$17,000,000 | \$225,000 plus 5% of the excess over \$1,500,000. | | |
| Over \$17,000,000 | \$1,000,000. | | |
| g Grassroots nontaxable amount (enter 25% of line 1f) | | | |
| h Subtract line 1g from line 1a. If zero or less, enter -0- | | | |
| i Subtract line 1f from line 1c. If zero or less, enter -0- | | | |
| j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? | | | |

☐ Yes ☐ No

4-Year Averaging Period Under Section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below.

See the separate instructions for lines 2a through 2f.)

| Lobbying Expenditures During 4-Year Averaging Period | | | | | |
|---|----------|----------|----------|----------|-----------|
| Calendar year (or fiscal year beginning in) | (a) 2016 | (b) 2017 | (c) 2018 | (d) 2019 | (e) Total |
| 2a Lobbying nontaxable amount | | | | | |
| b Lobbying ceiling amount (150% of line 2a, column (e)) | | | | | |
| c Total lobbying expenditures | | | | | |
| d Grassroots nontaxable amount | | | | | |
| e Grassroots ceiling amount (150% of line 2d, column (e)) | | | | | |
| f Grassroots lobbying expenditures | | | | | |

Schedule C (Form 990 or 990-EZ) 2019

PUBLIC INSPECTION COPY

AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT

53-0196605

Schedule C (Form 990 or 990-EZ) 2019

Page **3****Part II-B** Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

| For each "Yes," response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity. | (a) | | (b) |
|---|-----|----|----------|
| | Yes | No | Amount |
| 1 During the year, did the filing organization attempt to influence foreign, national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of: | | | |
| a Volunteers? | X | | |
| b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)? | X | | |
| c Media advertisements? | | X | |
| d Mailings to members, legislators, or the public? | | X | |
| e Publications, or published or broadcast statements? | | X | |
| f Grants to other organizations for lobbying purposes? | | X | |
| g Direct contact with legislators, their staffs, government officials, or a legislative body? | X | | 285,646. |
| h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means? | | X | |
| i Other activities? | | X | |
| j Total. Add lines 1c through 1i | | | 285,646. |
| 2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)? | | X | |
| b If "Yes," enter the amount of any tax incurred under section 4912 | | | |
| c If "Yes," enter the amount of any tax incurred by organization managers under section 4912 | | | |
| d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year? | | | |

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

| | Yes | No |
|--|----------|----|
| 1 Were substantially all (90% or more) dues received nondeductible by members? | 1 | |
| 2 Did the organization make only in-house lobbying expenditures of \$2,000 or less? | 2 | |
| 3 Did the organization agree to carry over lobbying and political campaign activity expenditures from the prior year? | 3 | |

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

| | | |
|---|-----------|--|
| 1 Dues, assessments and similar amounts from members | 1 | |
| 2 Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid). | | |
| a Current year | 2a | |
| b Carryover from last year. | 2b | |
| c Total | 2c | |
| 3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues. | 3 | |
| 4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year? | 4 | |
| 5 Taxable amount of lobbying and political expenditures (see instructions) | 5 | |

Part IV Supplemental Information

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (see instructions); and Part II-B, line 1. Also, complete this part for any additional information.

SEE PAGE 4

Part IV Supplemental Information (continued)

PART II-B LOBBYING ACTIVITY

THE AMERICAN NATIONAL RED CROSS DOES NOT CONTRIBUTE TO OR PARTICIPATE IN ELECTION CAMPAIGNS. IT DOES NOT ENDORSE CANDIDATES FOR ELECTIVE OFFICE, NOR DOES IT PUBLISH OR DISTRIBUTE INFORMATION THAT DIRECTLY OR INDIRECTLY ENDORSES OR OPPOSES A CANDIDATE.

THE AMERICAN NATIONAL RED CROSS PARTICIPATES IN LOBBYING AND OTHER PUBLIC POLICY ADVOCACY ACTIVITIES AT THE FEDERAL AND STATE LEVELS (WITHIN THE LIMITS SET BY IRS REGULATIONS) ON ISSUES THAT ARE RELATED TO THE ORGANIZATION'S MISSION INCLUDING: BIOMEDICAL SERVICES; HOMELAND SECURITY, AND ALL HAZARDS PREPAREDNESS AND RESPONSE; PUBLIC HEALTH AND SAFETY; EMERGENCY COMMUNICATION SERVICES TO THE ARMED FORCES; INTERNATIONAL SERVICES; AND THE REGULATION OF NONPROFIT ORGANIZATIONS. THESE ACTIVITIES INCLUDE PREPARING AND PRESENTING WRITTEN AND ORAL TESTIMONY AT LEGISLATIVE HEARINGS AT THE FEDERAL AND STATE LEVELS; COMMUNICATING WITH POLICYMAKERS AND THEIR STAFFS THROUGH MEETINGS AND BRIEFINGS, AND ISSUING PUBLIC STATEMENTS RELATED TO PENDING LEGISLATION AND REGULATION.

**SCHEDULE D
(Form 990)**Department of the Treasury
Internal Revenue Service**Supplemental Financial Statements**▶ Complete if the organization answered "Yes" on Form 990,
Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

▶ Attach to Form 990.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019**Open to Public
Inspection**Name of the organization **AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT
CHAPTERS AND BRANCHES**Employer identification number
53-0196605**Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

| | (a) Donor advised funds | (b) Funds and other accounts |
|---|-------------------------|--|
| 1 Total number at end of year | | |
| 2 Aggregate value of contributions to (during year) | | |
| 3 Aggregate value of grants from (during year) . . | | |
| 4 Aggregate value at end of year | | |
| 5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? | | <input type="checkbox"/> Yes <input type="checkbox"/> No |

Part II Conservation Easements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

| | |
|---|---|
| <input type="checkbox"/> Preservation of land for public use (for example, recreation or education) | <input type="checkbox"/> Preservation of a historically important land area |
| <input type="checkbox"/> Protection of natural habitat | <input type="checkbox"/> Preservation of a certified historic structure |
| <input type="checkbox"/> Preservation of open space | |

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

| | Held at the End of the Tax Year |
|--|---------------------------------|
| a Total number of conservation easements | 2a |
| b Total acreage restricted by conservation easements | 2b |
| c Number of conservation easements on a certified historic structure included in (a) | 2c |
| d Number of conservation easements included in (c) acquired after 7/25/06, and not on a historic structure listed in the National Register | 2d |

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ _____

4 Number of states where property subject to conservation easement is located ▶ _____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ _____

7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ \$ _____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a If the organization elected, as permitted under FASB ASC 958, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide in Part XIII the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under FASB ASC 958, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenue included on Form 990, Part VIII, line 1. ▶ \$ _____

(ii) Assets included in Form 990, Part X. ▶ \$ _____

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under FASB ASC 958 relating to these items:

a Revenue included on Form 990, Part VIII, line 1. ▶ \$ _____

b Assets included in Form 990, Part X. ▶ \$ _____

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule D (Form 990) 2019

PUBLIC INSPECTION COPY

AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT

53-0196605

Schedule D (Form 990) 2019

Page **2****Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** (continued)

- 3** Using the organization's acquisition, accession, and other records, check any of the following that make significant use of its collection items (check all that apply):
- a** ☐ Public exhibition **d** ☐ Loan or exchange program
- b** ☐ Scholarly research **e** ☐ Other _____
- c** ☐ Preservation for future generations
- 4** Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.
- 5** During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ **Yes** ☐ **No**

Part IV Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a** Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ **Yes** ☐ **No**
- b** If "Yes," explain the arrangement in Part XIII and complete the following table:
- | | Amount |
|--|-----------|
| c Beginning balance | 1c |
| d Additions during the year | 1d |
| e Distributions during the year | 1e |
| f Ending balance | 1f |
- 2a** Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? ☐ **Yes** ☐ **No**
- b** If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII ☐

Part V Endowment Funds.

Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

- | | (a) Current year | (b) Prior year | (c) Two years back | (d) Three years back | (e) Four years back |
|---|------------------|----------------|--------------------|----------------------|---------------------|
| 1a Beginning of year balance | 1013098039. | 968,352,039. | 1047760039. | 969,075,039. | 1014382039. |
| b Contributions | 24,587,000. | 19,975,000. | 23,501,000. | 27,392,000. | 22,824,000. |
| c Net investment earnings, gains, and losses | 34,653,000. | 61,818,000. | 38,352,000. | 88,410,000. | -31,976,000. |
| d Grants or scholarships | | | | | |
| e Other expenditures for facilities and programs | 37,899,000. | 37,047,000. | 141,261,000. | 37,117,000. | 36,155,000. |
| f Administrative expenses | | | | | |
| g End of year balance | 1034439039. | 1013098039. | 968,352,039. | 1047760039. | 969,075,039. |
- 2** Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:
- a** Board designated or quasi-endowment ▶ _____ %
- b** Permanent endowment ▶ 100.0000 %
- c** Term endowment ▶ _____ %
- The percentages on lines 2a, 2b, and 2c should equal 100%.
- 3a** Are there endowment funds not in the possession of the organization that are held and administered for the organization by:
- | | Yes | No |
|---|---------------|----|
| (i) Unrelated organizations | 3a(i) | X |
| (ii) Related organizations | 3a(ii) | X |
| b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R? | 3b | |
- 4** Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

| Description of property | (a) Cost or other basis (investment) | (b) Cost or other basis (other) | (c) Accumulated depreciation | (d) Book value |
|---|--------------------------------------|---------------------------------|------------------------------|----------------|
| 1a Land | | 100,411,819. | | 100,411,819. |
| b Buildings | | 992,423,442. | 499,712,531. | 492,710,911. |
| c Leasehold improvements | | 93,435,422. | 69,114,338. | 24,321,084. |
| d Equipment | | 550,240,965. | 390,413,521. | 159,827,444. |
| e Other | | 9,208,184. | | 9,208,184. |
| Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.) | | | | 786,479,442. |

Schedule D (Form 990) 2019

Part VII Investments - Other Securities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

| (a) Description of security or category (including name of security) | (b) Book value | (c) Method of valuation: Cost or end-of-year market value |
|---|----------------|--|
| (1) Financial derivatives | | |
| (2) Closely held equity interests | | |
| (3) Other | | |
| (A) ALTERNATIVE INVESTMENTS | 675,511,000. | FMV |
| (B) | | |
| (C) | | |
| (D) | | |
| (E) | | |
| (F) | | |
| (G) | | |
| (H) | | |
| Total. (Column (b) must equal Form 990, Part X, col. (B) line 12.) ▶ | 675,511,000. | |

Part VIII Investments - Program Related.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

| (a) Description of investment | (b) Book value | (c) Method of valuation: Cost or end-of-year market value |
|---|----------------|--|
| (1) | | |
| (2) | | |
| (3) | | |
| (4) | | |
| (5) | | |
| (6) | | |
| (7) | | |
| (8) | | |
| (9) | | |
| Total. (Column (b) must equal Form 990, Part X, col. (B) line 13.) ▶ | | |

Part IX Other Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

| (a) Description | (b) Book value |
|---|----------------|
| (1) | |
| (2) | |
| (3) | |
| (4) | |
| (5) | |
| (6) | |
| (7) | |
| (8) | |
| (9) | |
| Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.) ▶ | |

Part X Other Liabilities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

| 1. (a) Description of liability | (b) Book value |
|---|----------------|
| (1) Federal income taxes | |
| (2) PENSION AND POST-RETIREMENT BENEFIT | 159,702,468. |
| (3) INSURANCE (LOSS RESERVES & CLAIMS) | 79,067,977. |
| (4) SPLIT INTEREST AGREEMENT LIABILITY | 31,000,000. |
| (5) SECURITIZATION & MISC LIABILITIES | 167,609,410. |
| (6) | |
| (7) | |
| (8) | |
| (9) | |
| Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) ▶ | 437,379,855. |

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FASB ASC 740. Check here if the text of the footnote has been provided in Part XIII ☒

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

| | | | | |
|----------|--|-----------|-----------|--|
| 1 | Total revenue, gains, and other support per audited financial statements | | 1 | |
| 2 | Amounts included on line 1 but not on Form 990, Part VIII, line 12: | | | |
| a | Net unrealized gains (losses) on investments | 2a | | |
| b | Donated services and use of facilities | 2b | | |
| c | Recoveries of prior year grants | 2c | | |
| d | Other (Describe in Part XIII.) | 2d | | |
| e | Add lines 2a through 2d | | 2e | |
| 3 | Subtract line 2e from line 1 | | 3 | |
| 4 | Amounts included on Form 990, Part VIII, line 12, but not on line 1: | | | |
| a | Investment expenses not included on Form 990, Part VIII, line 7b | 4a | | |
| b | Other (Describe in Part XIII.) | 4b | | |
| c | Add lines 4a and 4b | | 4c | |
| 5 | Total revenue. Add lines 3 and 4c . (This must equal Form 990, Part I, line 12.) | | 5 | |

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

| | | | | |
|----------|---|-----------|-----------|--|
| 1 | Total expenses and losses per audited financial statements | | 1 | |
| 2 | Amounts included on line 1 but not on Form 990, Part IX, line 25: | | | |
| a | Donated services and use of facilities | 2a | | |
| b | Prior year adjustments | 2b | | |
| c | Other losses | 2c | | |
| d | Other (Describe in Part XIII.) | 2d | | |
| e | Add lines 2a through 2d | | 2e | |
| 3 | Subtract line 2e from line 1 | | 3 | |
| 4 | Amounts included on Form 990, Part IX, line 25, but not on line 1: | | | |
| a | Investment expenses not included on Form 990, Part VIII, line 7b | 4a | | |
| b | Other (Describe in Part XIII.) | 4b | | |
| c | Add lines 4a and 4b | | 4c | |
| 5 | Total expenses. Add lines 3 and 4c . (This must equal Form 990, Part I, line 18.) | | 5 | |

Part XIII Supplemental Information.

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

SEE PAGE 5

Part XIII Supplemental Information (continued)

SCHEDULE D, PART V

ENDOWMENT FUNDS

IN ACCORDANCE WITH ITS CONGRESSIONAL CHARTER, THE AMERICAN NATIONAL RED CROSS HAS MAINTAINED AN ENDOWMENT FUND SINCE 1905 WHICH IS KEPT AND INVESTED UNDER THE MANAGEMENT AND CONTROL OF A BOARD OF TRUSTEES ELECTED BY THE BOARD OF GOVERNORS. THE BYLAWS OF THE ORGANIZATION STATE THAT WHENEVER A GIFT IS DESIGNATED BY THE DONOR TO BE PERMANENTLY RETAINED, THE GIFT SHALL BE RECEIVED AND HELD IN THE ENDOWMENT FUND. THE AMERICAN NATIONAL RED CROSS MAKES DISTRIBUTIONS FROM INCOME EARNED ON THE ENDOWMENT FUND FOR CURRENT OPERATIONS.

SCHEDULE D, PART X

OTHER LIABILITIES ASC 740 (FORMER FIN 48)

THE AMERICAN NATIONAL RED CROSS IS A NOT-FOR-PROFIT ORGANIZATION INCORPORATED BY THE U.S. CONGRESS THROUGH THE ISSUANCE OF A FEDERAL CHARTER. THE ORGANIZATION IS EXEMPT FROM FEDERAL INCOME TAXES UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE, EXCEPT ON NET INCOME DERIVED FROM UNRELATED BUSINESS ACTIVITIES. AT JUNE 30, 2020 AND 2019, THE ORGANIZATION HAD DETERMINED THAT NO INCOME TAXES ARE DUE FOR SUCH ACTIVITIES. ACCORDINGLY, NO PROVISION FOR INCOME TAXES HAS BEEN RECORDED IN THE ACCOMPANYING FINANCIAL STATEMENTS. MANAGEMENT ANNUALLY REVIEWS ITS TAX POSITIONS AND HAS DETERMINED THAT THERE ARE NO MATERIAL UNCERTAIN TAX POSITIONS THAT REQUIRE RECOGNITION IN THE CONSOLIDATED FINANCIAL STATEMENTS.

**SCHEDULE F
(Form 990)****Statement of Activities Outside the United States**

OMB No. 1545-0047

2019**Open to Public
Inspection**Department of the Treasury
Internal Revenue Service▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.**▶ **Attach to Form 990.**▶ **Go to www.irs.gov/Form990 for instructions and the latest information.**Name of the organization **AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT
CHAPTERS AND BRANCHES****Employer identification number**
53-0196605**Part I General Information on Activities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

1 For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☒ **Yes** ☐ **No**

2 For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

3 Activities per Region. (The following Part I, line 3 table can be duplicated if additional space is needed.)

| (a) Region | (b) Number of offices in the region | (c) Number of employees, agents, and independent contractors in the region | (d) Activities conducted in the region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in the region | (f) Total expenditures for and investments in the region |
|---|-------------------------------------|--|--|--|--|
| (1) CENTRAL AMERICA/CARIBBEAN | 3. | 6. | PROGRAM SERVICES | DISASTER RESPONSE | 28,641,064. |
| (2) EAST ASIA AND THE PACIFIC | 17. | 45. | PROGRAM SERVICES | DISASTER RESPONSE | 22,024,158. |
| (3) EUROPE | 18. | 39. | PROGRAM SERVICES | DISASTER RESPONSE | 2,357,262. |
| (4) MIDDLE EAST AND NORTH AFRICA | 4. | 8. | PROGRAM SERVICES | DISASTER RESPONSE | 948,722. |
| (5) NORTH AMERICA | 0. | 0. | PROGRAM SERVICES | DISASTER RESPONSE | 2,081,909. |
| (6) SOUTH AMERICA | 0. | 0. | PROGRAM SERVICES | DISASTER RESPONSE | 2,337,065. |
| (7) SOUTH ASIA | 1. | 2. | PROGRAM SERVICES | DISASTER RESPONSE | 3,157,281. |
| (8) SUB-SAHARAN AFRICA | 1. | 1. | PROGRAM SERVICES | DISASTER RESPONSE | 5,570,006. |
| (9) EUROPE | 0. | 0. | INVESTMENTS | | 7,800,001. |
| (10) CENTRAL AMERICA/CARIBBEAN | 0. | 0. | PROGRAM SERVICES | INSURANCE | 25,994,948. |
| (11) | | | | | |
| (12) | | | | | |
| (13) | | | | | |
| (14) | | | | | |
| (15) | | | | | |
| (16) | | | | | |
| (17) | | | | | |
| 3a Subtotal | 44. | 101. | | | 100,912,416. |
| b Total from continuation sheets to Part I | | | | | |
| c Totals (add lines 3a and 3b) | 44. | 101. | | | 100,912,416. |

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2019

PUBLIC INSPECTION COPY

AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT

53-0196605

Schedule F (Form 990) 2019

Page **2**

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

| 1 | (a) Name of organization | (b) IRS code section and EIN (if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of noncash assistance | (h) Description of noncash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|------|--------------------------|--|--------------------------|----------------------|--------------------------|---------------------------------|----------------------------------|---------------------------------------|---|
| (1) | | | EAST ASIA/PACIFIC | DISASTER PREP | 669,455. | WIRE | | | |
| (2) | | | EAST ASIA/PACIFIC | DISASTER PREP | 2,758,443. | WIRE | | | |
| (3) | | | EAST ASIA/PACIFIC | DISASTER PREP | 283,639. | WIRE | | | |
| (4) | | | EAST ASIA/PACIFIC | DISASTER PREP | 178,184. | WIRE | | | |
| (5) | | | EAST ASIA/PACIFIC | DISASTER RESPONSE | 7,993,856. | WIRE | | | |
| (6) | | | EAST ASIA/PACIFIC | DISASTER PREP | 83,013. | WIRE | | | |
| (7) | | | EAST ASIA/PACIFIC | DISASTER PREP | 750,206. | WIRE | | | |
| (8) | | | EUROPE/ICELAND/GREENLAND | MEASLES | 103,030. | WIRE | | | |
| (9) | | | EUROPE/ICELAND/GREENLAND | DISASTER RESPONSE | 9,799,568. | WIRE | | | |
| (10) | | | MIDDLE EAST/NORTH AFRICA | DISASTER RESPONSE | 91,000. | WIRE | | | |
| (11) | | | SOUTH AMERICA | DISASTER PREP | 1,038,144. | WIRE | | | |
| (12) | | | SOUTH ASIA | DISASTER PREP | 795,271. | WIRE | | | |
| (13) | | | SUB-SAHARAN AFRICA | MEASLES | 131,864. | WIRE | | | |
| (14) | | | SUB-SAHARAN AFRICA | DISASTER RESPONSE | 165,475. | WIRE | | | |
| (15) | | | CENT. AMERICA/CARIBBEAN | DISASTER PREP | 579,436. | WIRE | | | |
| (16) | | | SUB-SAHARAN AFRICA | MEASLES | 162,227. | WIRE | | | |

- 2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter ▶ _____
- 3 Enter total number of other organizations or entities ▶ _____

Schedule F (Form 990) 2019

PUBLIC INSPECTION COPY

AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT

53-0196605

Schedule F (Form 990) 2019

Page **2**

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

| 1 | (a) Name of organization | (b) IRS code section and EIN (if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of noncash assistance | (h) Description of noncash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|------|--------------------------|--|-------------------------|----------------------|--------------------------|---------------------------------|----------------------------------|---------------------------------------|---|
| (1) | | | EAST ASIA/PACIFIC | DISASTER PREP | 875,925. | WIRE | | | |
| (2) | | | EAST ASIA/PACIFIC | DISASTER PREP | 165,567. | WIRE | | | |
| (3) | | | SOUTH ASIA | DISASTER PREP | 510,846. | WIRE | | | |
| (4) | | | CENT. AMERICA/CARIBBEAN | DISASTER PREP | 16,827,922. | WIRE | | | |
| (5) | | | CENT. AMERICA/CARIBBEAN | DISASTER RESPONSE | 1,348,097. | WIRE | | | |
| (6) | | | CENT. AMERICA/CARIBBEAN | DISASTER RESPONSE | 587,389. | WIRE | | | |
| (7) | | | CENT. AMERICA/CARIBBEAN | DISASTER PREP | 1,666,052. | WIRE | | | |
| (8) | | | NORTH AMERICA | DISASTER PREP | 2,080,757. | WIRE | | | |
| (9) | | | CENT. AMERICA/CARIBBEAN | DISASTER PREP | 198,257. | WIRE | | | |
| (10) | | | CENT. AMERICA/CARIBBEAN | DISASTER RESPONSE | 3,180,767. | WIRE | | | |
| (11) | | | EAST ASIA/PACIFIC | DISASTER PREP | 5,496. | WIRE | | | |
| (12) | | | EAST ASIA/PACIFIC | DISASTER PREP | 248,497. | WIRE | | | |
| (13) | | | CENT. AMERICA/CARIBBEAN | DISASTER RESPONSE | 1,570,557. | WIRE | | | |
| (14) | | | | | | | | | |
| (15) | | | | | | | | | |
| (16) | | | | | | | | | |

- 2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter 29.
- 3 Enter total number of other organizations or entities

Schedule F (Form 990) 2019

PUBLIC INSPECTION COPY

AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT

53-0196605

Schedule F (Form 990) 2019

Page **3**

Part III **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 16.
Part III can be duplicated if additional space is needed.

| (a) Type of grant or assistance | (b) Region | (c) Number of recipients | (d) Amount of cash grant | (e) Manner of cash disbursement | (f) Amount of noncash assistance | (g) Description of noncash assistance | (h) Method of valuation (book, FMV, appraisal, other) |
|---------------------------------|------------|--------------------------|--------------------------|---------------------------------|----------------------------------|---------------------------------------|---|
| (1) | | | | | | | |
| (2) | | | | | | | |
| (3) | | | | | | | |
| (4) | | | | | | | |
| (5) | | | | | | | |
| (6) | | | | | | | |
| (7) | | | | | | | |
| (8) | | | | | | | |
| (9) | | | | | | | |
| (10) | | | | | | | |
| (11) | | | | | | | |
| (12) | | | | | | | |
| (13) | | | | | | | |
| (14) | | | | | | | |
| (15) | | | | | | | |
| (16) | | | | | | | |
| (17) | | | | | | | |
| (18) | | | | | | | |

Schedule F (Form 990) 2019

PUBLIC INSPECTION COPY

AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT

53-0196605

Schedule F (Form 990) 2019

Page **4****Part IV Foreign Forms**

- 1** Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* ☒ **Yes** ☐ **No**
- 2** Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return To Report Transactions With Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; don't file with Form 990)* ☐ **Yes** ☒ **No**
- 3** Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect to Certain Foreign Corporations (see Instructions for Form 5471)* ☒ **Yes** ☐ **No**
- 4** Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)* ☒ **Yes** ☐ **No**
- 5** Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect to Certain Foreign Partnerships (see Instructions for Form 8865)* ☒ **Yes** ☐ **No**
- 6** Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; don't file with Form 990)* ☐ **Yes** ☒ **No**

Schedule F (Form 990) 2019

Part V**Supplemental Information**

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

SCHEDULE F, PART I, LINE 2

PROCEDURES FOR MONITORING THE USE OF GRANT FUNDS OUTSIDE THE U.S.:

THE INTERNATIONAL SERVICES DEPARTMENT OF THE AMERICAN NATIONAL RED CROSS
HAS ESTABLISHED STANDARD OPERATING PROCEDURES THAT INCLUDE DUE DILIGENCE

PRIOR TO AWARDING FUNDS TO A SUB-RECIPIENT. THIS DUE DILIGENCE IS A

REVIEW OF THE PROGRAMMATIC, STRUCTURAL, AND FINANCIAL HEALTH OF THE

ORGANIZATION AND AN ANALYSIS OF THE RISK LEVEL OF AWARDING FUNDS. THIS

RISK LEVEL DICTATES PROCEDURES TO MITIGATE THOSE RISKS, INCLUDING THE

LEVEL OF DETAIL AND TIMING OF FINANCIAL AND NARRATIVE REPORTS, DURING THE

TERM OF THE AWARD. THESE FINANCIAL AND NARRATIVE REPORTS ARE REVIEWED

AND, IN CONJUNCTION WITH ANY OTHER IDENTIFIED MITIGATING ACTIVITIES, A

DECISION IS MADE TO "ACCEPT" THE REPORTS AND WHETHER TO CONTINUE

PROVIDING FUNDS FOR THE AWARD. AT THE END OF THE AWARD TERM, A FINAL

REVIEW OF PROGRAMMATIC AND FINANCIAL REQUIREMENTS IS PERFORMED TO ENSURE

THE SUB-RECIPIENT MET ITS OBLIGATIONS UNDER THE AWARD. THIS REVIEW FEEDS

INTO FUTURE AWARD DECISIONS WITH THE SUB-RECIPIENT. THE AMERICAN NATIONAL

RED CROSS ALWAYS RESERVES THE RIGHT TO PERFORM ADDITIONAL REVIEW AND

AUDIT OF ANY GRANT FUNDS.

PUBLIC INSPECTION COPY

SCHEDULE G (Form 990 or 990-EZ)

Supplemental Information Regarding Fundraising or Gaming Activities

OMB No. 1545-0047

Complete if the organization answered "Yes" on Form 990, Part IV, line 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.

2019Department of the Treasury
Internal Revenue Service

▶ Attach to Form 990 or Form 990-EZ.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.**Open to Public
Inspection**Name of the organization **AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT
CHAPTERS AND BRANCHES**Employer identification number
53-0196605**Part I Fundraising Activities.** Complete if the organization answered "Yes" on Form 990, Part IV, line 17.
Form 990-EZ filers are not required to complete this part.**1** Indicate whether the organization raised funds through any of the following activities. Check all that apply.

- | | |
|---|---|
| a <input checked="" type="checkbox"/> Mail solicitations | e <input type="checkbox"/> Solicitation of non-government grants |
| b <input checked="" type="checkbox"/> Internet and email solicitations | f <input type="checkbox"/> Solicitation of government grants |
| c <input checked="" type="checkbox"/> Phone solicitations | g <input type="checkbox"/> Special fundraising events |
| d <input type="checkbox"/> In-person solicitations | |

- 2a** Did the organization have a written or oral agreement with any individual (including officers, directors, trustees, or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? ☒ **Yes** ☐ **No**
- b** If "Yes," list the 10 highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

| (i) Name and address of individual or entity (fundraiser) | (ii) Activity | (iii) Did fundraiser have custody or control of contributions? | | (iv) Gross receipts from activity | (v) Amount paid to (or retained by) fundraiser listed in col. (i) | (vi) Amount paid to (or retained by) organization |
|--|---------------|--|----|--------------------------------------|--|---|
| | | Yes | No | | | |
| 1 ATTACHMENT 1 | | | | | | |
| 2 | | | | | | |
| 3 | | | | | | |
| 4 | | | | | | |
| 5 | | | | | | |
| 6 | | | | | | |
| 7 | | | | | | |
| 8 | | | | | | |
| 9 | | | | | | |
| 10 | | | | | | |
| Total | | | | 6,069,165. | 123,953. | 5,945,212. |

- 3** List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

PUBLIC INSPECTION COPY

AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT

53-0196605

Schedule G (Form 990 or 990-EZ) 2019

Page **2**

Part II Fundraising Events. Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

| | | (a) Event #1 HERO BREAKFAST (event type) | (b) Event #2 NY GALA (event type) | (c) Other events 128. (total number) | (d) Total events (add col. (a) through col. (c)) |
|-----------------|---|--|---|--|--|
| | | | | | |
| Revenue | 1 Gross receipts | 1,749,089. | 1,170,669. | 13,333,489. | 16,253,247. |
| | 2 Less: Contributions | 1,749,089. | 1,072,169. | 11,339,557. | 14,160,815. |
| | 3 Gross income (line 1 minus line 2) | | 98,500. | 1,993,932. | 2,092,432. |
| Direct Expenses | 4 Cash prizes | | | 13,887. | 13,887. |
| | 5 Noncash prizes | | | 77,701. | 77,701. |
| | 6 Rent/facility costs | | 157,939. | 1,058,001. | 1,215,940. |
| | 7 Food and beverages | | 123,528. | 930,357. | 1,053,885. |
| | 8 Entertainment | | 103,987. | 300,811. | 404,798. |
| | 9 Other direct expenses | | 44,620. | 324,118. | 368,738. |
| | 10 Direct expense summary. Add lines 4 through 9 in column (d) ▶ | | | | 3,134,949. |
| | 11 Net income summary. Subtract line 10 from line 3, column (d) ▶ | | | | -1,042,517. |

Part III Gaming. Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

| | | (a) Bingo | (b) Pull tabs/instant bingo/progressive bingo | (c) Other gaming | (d) Total gaming (add col. (a) through col. (c)) |
|-----------------|--|---|---|---|---|
| | | | | | |
| Revenue | 1 Gross revenue | | | | |
| | | | | | |
| Direct Expenses | 2 Cash prizes | | | | |
| | 3 Noncash prizes | | | | |
| | 4 Rent/facility costs | | | | |
| | 5 Other direct expenses | | | | |
| | | | | | |
| | 6 Volunteer labor | <input type="checkbox"/> Yes _____ % <input type="checkbox"/> No | <input type="checkbox"/> Yes _____ % <input type="checkbox"/> No | <input type="checkbox"/> Yes _____ % <input type="checkbox"/> No | |
| | 7 Direct expense summary. Add lines 2 through 5 in column (d) ▶ | | | | |
| | 8 Net gaming income summary. Subtract line 7 from line 1, column (d) ▶ | | | | |

9 Enter the state(s) in which the organization conducts gaming activities: _____

a Is the organization licensed to conduct gaming activities in each of these states? ☐ Yes ☐ No

b If "No," explain: _____

10a Were any of the organization's gaming licenses revoked, suspended, or terminated during the tax year? ☐ Yes ☐ No

b If "Yes," explain: _____

Schedule G (Form 990 or 990-EZ) 2019

PUBLIC INSPECTION COPY

AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT

53-0196605

Schedule G (Form 990 or 990-EZ) 2019

Page **3**

- 11** Does the organization conduct gaming activities with nonmembers? ☐ Yes ☐ No
- 12** Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? ☐ Yes ☐ No
- 13** Indicate the percentage of gaming activity conducted in:
- | | | |
|--------------------------------------|------------|---|
| a The organization's facility | 13a | % |
| b An outside facility | 13b | % |
- 14** Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ▶ _____

Address ▶ _____

- 15 a** Does the organization have a contract with a third party from whom the organization receives gaming revenue? ☐ Yes ☐ No
- b** If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ _____ and the amount of gaming revenue retained by the third party ▶ \$ _____.
- c** If "Yes," enter name and address of the third party:

Name ▶ _____

Address ▶ _____

16 Gaming manager information:

Name ▶ _____

Gaming manager compensation ▶ \$ _____

Description of services provided ▶ _____

☐ Director/officer ☐ Employee ☐ Independent contractor**17** Mandatory distributions:

- a** Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? ☐ Yes ☐ No
- b** Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ _____

Part IV **Supplemental Information.** Provide the explanation required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information (see instructions).

PART I, LINE 3

THE AMERICAN NATIONAL RED CROSS WAS CHARTERED BY SPECIAL ACT OF CONGRESS
ON JANUARY 5, 1905, AND IS A FEDERAL INSTRUMENTALITY OF THE UNITED
STATES. SEE 36 U.S.C. §§ 300101-300111. AS A FEDERAL INSTRUMENTALITY, IT
IS EXEMPT FROM STATE LAW CHARITABLE REGISTRATION AND LICENSING
REQUIREMENTS. SEE DEPARTMENT OF EMPLOYMENT V. UNITED STATES, 385 U.S. 355
(1966).

Schedule G (Form 990 or 990-EZ) 2019

PUBLIC INSPECTION COPY

AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT

53-0196605

ATTACHMENT 1

990, SCHEDULE G, PART I - HIGHEST PAID FUNDRAISER

| NAME AND ADDRESS OF FUNDRAISER | ACTIVITY | DID FUNDRAISER HAVE CUSTODY OR CONTROL OF CONTRIBUTIONS? | | GROSS RECEIPTS FROM ACTIVITY | AMOUNT PAID TO (OR RETAINED BY FUNDRAISER | AMOUNT PAID TO (OR RETAINED BY ORGANIZATION |
|--|-------------|--|----|---------------------------------|---|---|
| | | YES | NO | | | |
| TELEFUND, INC. 186 LINCOLN STREET BOSTON MA 02111 | PHONE/EMAIL | | X | 6,069,165. | 123,953. | 5,945,212. |

PUBLIC INSPECTION COPY

SCHEDULE I (Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.

► Attach to Form 990.

► Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2019

Open to Public
Inspection

Name of the organization **AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT
CHAPTERS AND BRANCHES**

Employer identification number
53-0196605

Part I General Information on Grants and Assistance

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☒ Yes ☐ No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

| 1 (a) Name and address of organization or government | (b) EIN | (c) IRC section (if applicable) | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of noncash assistance | (h) Purpose of grant or assistance |
|--|---------|---------------------------------|--------------------------|-----------------------------------|---|---------------------------------------|------------------------------------|
| (1) | | | | | | | |
| (2) | | | | | | | |
| (3) | | | | | | | |
| (4) | | | | | | | |
| (5) | | | | | | | |
| (6) | | | | | | | |
| (7) | | | | | | | |
| (8) | | | | | | | |
| (9) | | | | | | | |
| (10) | | | | | | | |
| (11) | | | | | | | |
| (12) | | | | | | | |

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ►

3 Enter total number of other organizations listed in the line 1 table ►

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2019)

PUBLIC INSPECTION COPY

AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT

53-0196605

Schedule I (Form 990) (2019)

Page **2**

Part III **Grants and Other Assistance to Domestic Individuals.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22.
Part III can be duplicated if additional space is needed.

| (a) Type of grant or assistance | (b) Number of recipients | (c) Amount of cash grant | (d) Amount of non-cash assistance | (e) Method of valuation (book, FMV, appraisal, other) | (f) Description of non-cash assistance |
|---|--------------------------|--------------------------|-----------------------------------|---|--|
| 1 DISASTER RELIEF PAYMENTS AND EMERGENCIES | | 173,413,180. | | | |
| 2 | | | | | |
| 3 | | | | | |
| 4 | | | | | |
| 5 | | | | | |
| 6 | | | | | |
| 7 | | | | | |

Part IV **Supplemental Information.** Provide the information required in Part I, line 2, Part III, column (b); and any other additional information.

SCHEDULE I, PART I, LINE 2

MONITORING GRANTS

AMERICAN NATIONAL RED CROSS RESPONDS TO MORE THAN 60,000 DISASTERS LARGE AND SMALL PER YEAR. DISASTER RESPONSE AT THE AMERICAN NATIONAL RED CROSS HAS ESTABLISHED PROCEDURES FOR PROVIDING FINANCIAL AND MATERIAL ASSISTANCE TO CLIENTS. DURING THE EMERGENCY PHASE, THE AMERICAN NATIONAL RED CROSS PROVIDES ASSISTANCE IN THE FORM OF MASS CARE (E.G., FEEDING AND SHELTERING) BASED ON NEEDS. AS WE MOVE TOWARDS THE RECOVERY PHASE, THE AMERICAN NATIONAL RED CROSS PROVIDES INDIVIDUAL ASSISTANCE BASED ON VERIFIED NEED AND IDENTIFICATION THROUGH CASE MANAGEMENT. THE AMERICAN

Schedule I (Form 990) (2019)

PUBLIC INSPECTION COPY

Part III **Grants and Other Assistance to Domestic Individuals.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22.
Part III can be duplicated if additional space is needed.

| (a) Type of grant or assistance | (b) Number of recipients | (c) Amount of cash grant | (d) Amount of non-cash assistance | (e) Method of valuation (book, FMV, appraisal, other) | (f) Description of non-cash assistance |
|---------------------------------|--------------------------|--------------------------|-----------------------------------|---|--|
| 1 | | | | | |
| 2 | | | | | |
| 3 | | | | | |
| 4 | | | | | |
| 5 | | | | | |
| 6 | | | | | |
| 7 | | | | | |

Part IV **Supplemental Information.** Provide the information required in Part I, line 2, Part III, column (b); and any other additional information.

NATIONAL RED CROSS PLACES CONTROL PROCEDURES AROUND MONITORING THE USE OF FINANCIAL ASSISTANCE IN THE UNITED STATES. DURING THE RECOVERY PHASE, THE AMERICAN NATIONAL RED CROSS PARTNERS WITH OTHER ORGANIZATIONS TO SUPPORT THE COMMUNITY. ADDITIONALLY THE AMERICAN NATIONAL RED CROSS CONDUCTS DISASTER PREPAREDNESS PROGRAMS INCLUDING THE INSTALLATION OF SMOKE ALARMS AND YOUTH PREPAREDNESS EDUCATION.

PUBLIC INSPECTION COPY

Part III **Grants and Other Assistance to Domestic Individuals.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22.
Part III can be duplicated if additional space is needed.

| (a) Type of grant or assistance | (b) Number of recipients | (c) Amount of cash grant | (d) Amount of non-cash assistance | (e) Method of valuation (book, FMV, appraisal, other) | (f) Description of non-cash assistance |
|---------------------------------|--------------------------|--------------------------|-----------------------------------|---|--|
| 1 | | | | | |
| 2 | | | | | |
| 3 | | | | | |
| 4 | | | | | |
| 5 | | | | | |
| 6 | | | | | |
| 7 | | | | | |

Part IV **Supplemental Information.** Provide the information required in Part I, line 2, Part III, column (b); and any other additional information.

SCHEDULE I, PART II

GRANTS AND ASSISTANCE TO OTHER DOMESTIC ORGANIZATIONS

THE AMERICAN NATIONAL RED CROSS DOES GIVE MONEY TO OTHER DOMESTIC ORGANIZATIONS IN ORDER TO LEVERAGE OTHER ORGANIZATIONS' EXPERTISE OR ACCESS INDIVIDUALS/CLIENTS WHO NEED OUR ASSISTANCE, AND, AT TIMES, AS A VEHICLE TO PROVIDE ASSISTANCE TO INDIVIDUAL VICTIMS OF DOMESTIC DISASTERS/EMERGENCIES. THE AMOUNTS GIVEN TO OTHER ORGANIZATIONS ARE INCLUDED AND DISCLOSED WITHIN THE GRANTS OR OTHER ASSISTANCE TO OR FOR DOMESTIC INDIVIDUALS IN SCHEDULE I, PART III. AMERICAN NATIONAL RED CROSS GRANTS AND OTHER ASSISTANCE FOR THE VICTIMS OF DOMESTIC DISASTERS AND

PUBLIC INSPECTION COPY

AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT

53-0196605

Schedule I (Form 990) (2019)

Page **2**

Part III **Grants and Other Assistance to Domestic Individuals.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22.
Part III can be duplicated if additional space is needed.

| (a) Type of grant or assistance | (b) Number of recipients | (c) Amount of cash grant | (d) Amount of non-cash assistance | (e) Method of valuation (book, FMV, appraisal, other) | (f) Description of non-cash assistance |
|---------------------------------|--------------------------|--------------------------|-----------------------------------|---|--|
| 1 | | | | | |
| 2 | | | | | |
| 3 | | | | | |
| 4 | | | | | |
| 5 | | | | | |
| 6 | | | | | |
| 7 | | | | | |

Part IV **Supplemental Information.** Provide the information required in Part I, line 2, Part III, column (b); and any other additional information.

EMERGENCIES ARE PREDOMINANTLY GIVEN IN THE FORM OF DIRECT ASSISTANCE TO

INDIVIDUALS BY THE AMERICAN NATIONAL RED CROSS VIA ITS OWN ESTABLISHED

DISTRIBUTION CHANNELS.

SCHEDULE I, PART IV

DISBURSEMENT IN FURTHERANCE OF CHARITABLE PROGRAMS AND GRANTS

PURSUANT TO THE CONGRESSIONAL CHARTER OF THE AMERICAN NATIONAL RED CROSS

36 U.S.C. 3 FIFTH), THE ORGANIZATION CARRIES OUT A SYSTEM OF NATIONAL AND

INTERNATIONAL RELIEF TO MITIGATE OR PREVENT SUFFERING CAUSED BY

DISASTERS. DISASTER VICTIMS QUALIFY TO RECEIVE SUCH ASSISTANCE BASED ON

PUBLIC INSPECTION COPY

AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT

53-0196605

Schedule I (Form 990) (2019)

Page **2**

Part III **Grants and Other Assistance to Domestic Individuals.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22.
Part III can be duplicated if additional space is needed.

| (a) Type of grant or assistance | (b) Number of recipients | (c) Amount of cash grant | (d) Amount of non-cash assistance | (e) Method of valuation (book, FMV, appraisal, other) | (f) Description of non-cash assistance |
|---------------------------------|--------------------------|--------------------------|-----------------------------------|---|--|
| 1 | | | | | |
| 2 | | | | | |
| 3 | | | | | |
| 4 | | | | | |
| 5 | | | | | |
| 6 | | | | | |
| 7 | | | | | |

Part IV **Supplemental Information.** Provide the information required in Part I, line 2, Part III, column (b); and any other additional information.

EITHER OBVIOUS CIRCUMSTANCES, SUCH AS APPARENT NEED FOR FOOD, CLOTHING OR
SHELTER, OR A CASEWORK PROCESS IN WHICH THE NATURE AND EXTENT OF THE
DISASTER-CAUSED NEEDS FOR RED CROSS AID ARE DETERMINED IN THE LIGHT OF
OTHER AVAILABLE RESOURCES. CONTRIBUTIONS TO OTHER ORGANIZATIONS CONSIST
PRIMARILY OF THOSE MADE TO THE INTERNATIONAL COMMITTEE OF THE RED CROSS,
THE INTERNATIONAL FEDERATION OF RED CROSS AND RED CRESCENT SOCIETIES AND
NATIONAL RED CROSS SOCIETIES OF OTHER COUNTRIES. CONTRIBUTIONS MAY BE
MADE FOR A VARIETY OF PURPOSES, INCLUDING REGULAR FINANCIAL SUPPORT AND
DISASTER RELIEF ASSISTANCE. THE AMERICAN NATIONAL RED CROSS HAS ONGOING
RELATIONSHIPS WITH ALL SUCH RED CROSS ORGANIZATIONS WHICH ARE GOVERNED BY

Schedule I (Form 990) (2019)

PUBLIC INSPECTION COPY

AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT

53-0196605

Schedule I (Form 990) (2019)

Page **2**

Part III **Grants and Other Assistance to Domestic Individuals.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22.
Part III can be duplicated if additional space is needed.

| (a) Type of grant or assistance | (b) Number of recipients | (c) Amount of cash grant | (d) Amount of non-cash assistance | (e) Method of valuation (book, FMV, appraisal, other) | (f) Description of non-cash assistance |
|---------------------------------|--------------------------|--------------------------|-----------------------------------|---|--|
| 1 | | | | | |
| 2 | | | | | |
| 3 | | | | | |
| 4 | | | | | |
| 5 | | | | | |
| 6 | | | | | |
| 7 | | | | | |

Part IV **Supplemental Information.** Provide the information required in Part I, line 2, Part III, column (b); and any other additional information.

HUMANITARIAN PRINCIPLES AND QUALIFY FOR SUCH ASSISTANCE. DURING DOMESTIC AND INTERNATIONAL DISASTERS, THE AMERICAN NATIONAL RED CROSS WORKS CLOSELY WITH OTHER ORGANIZATIONS INCLUDING GOVERNMENT, NON-GOVERNMENT NON-PROFIT ORGANIZATIONS, AND CORPORATIONS. THE AMERICAN NATIONAL RED CROSS MAY WRITE GRANTS TO NON-PROFIT ORGANIZATIONS DURING LARGE DISASTERS THROUGH A SYSTEMATIC PROCESS. PURSUANT TO ITS CONGRESSIONAL CHARTER (36 U.S.C. 3 FOURTH), THE AMERICAN NATIONAL RED CROSS ALSO ACTS IN MATTERS OF VOLUNTARY RELIEF AND IN ACCORD WITH THE MILITARY AUTHORITIES TO PROVIDE COMMUNICATIONS AND WELFARE ASSISTANCE TO MEMBERS OF THE ARMED FORCES OF THE UNITED STATES, THEIR FAMILIES AND VETERANS. ASSISTANCE TO THIS GROUP

Schedule I (Form 990) (2019)

PUBLIC INSPECTION COPY

AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT

53-0196605

Schedule I (Form 990) (2019)

Page **2**

Part III **Grants and Other Assistance to Domestic Individuals.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22.
Part III can be duplicated if additional space is needed.

| (a) Type of grant or assistance | (b) Number of recipients | (c) Amount of cash grant | (d) Amount of non-cash assistance | (e) Method of valuation (book, FMV, appraisal, other) | (f) Description of non-cash assistance |
|---------------------------------|--------------------------|--------------------------|-----------------------------------|---|--|
| 1 | | | | | |
| 2 | | | | | |
| 3 | | | | | |
| 4 | | | | | |
| 5 | | | | | |
| 6 | | | | | |
| 7 | | | | | |

Part IV **Supplemental Information.** Provide the information required in Part I, line 2, Part III, column (b); and any other additional information.

IS DETERMINED GENERALLY ON THE BASIS OF THEIR MILITARY, VETERAN OR

DEPENDENT STATUS AND THE PARTICULAR NEEDS RELATED THERETO AS REVEALED

THROUGH CASEWORK AND SIMILAR MEANS.

**SCHEDULE J
(Form 990)**Department of the Treasury
Internal Revenue Service**Compensation Information**For certain Officers, Directors, Trustees, Key Employees, and Highest
Compensated Employees

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.

▶ Attach to Form 990.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019**Open to Public
Inspection**Name of the organization **AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT
CHAPTERS AND BRANCHES**Employer identification number
53-0196605**Part I Questions Regarding Compensation****1a** Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- | | |
|--|--|
| <input type="checkbox"/> First-class or charter travel | <input type="checkbox"/> Housing allowance or residence for personal use |
| <input type="checkbox"/> Travel for companions | <input type="checkbox"/> Payments for business use of personal residence |
| <input type="checkbox"/> Tax indemnification and gross-up payments | <input type="checkbox"/> Health or social club dues or initiation fees |
| <input type="checkbox"/> Discretionary spending account | <input type="checkbox"/> Personal services (such as maid, chauffeur, chef) |

b If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain**2** Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked on line 1a?**3** Indicate which, if any, of the following the organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

- | | |
|---|---|
| <input checked="" type="checkbox"/> Compensation committee | <input checked="" type="checkbox"/> Written employment contract |
| <input checked="" type="checkbox"/> Independent compensation consultant | <input checked="" type="checkbox"/> Compensation survey or study |
| <input checked="" type="checkbox"/> Form 990 of other organizations | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

4 During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

- a** Receive a severance payment or change-of-control payment?
- b** Participate in, or receive payment from, a supplemental nonqualified retirement plan?
- c** Participate in, or receive payment from, an equity-based compensation arrangement?
- If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.**5** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

- a** The organization?
- b** Any related organization?
- If "Yes" on line 5a or 5b, describe in Part III.

6 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

- a** The organization?
- b** Any related organization?
- If "Yes" on line 6a or 6b, describe in Part III.

7 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described on lines 5 and 6? If "Yes," describe in Part III.**8** Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III**9** If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

Yes No

1b**2****4a****4b****4c****5a****5b****6a****6b****7****8****9**

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2019

Schedule J (Form 990) 2019

Page **2****Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that aren't listed on Form 990, Part VII.

Note: The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

| (A) Name and Title | | (B) Breakdown of W-2 and/or 1099-MISC compensation | | | (C) Retirement and other deferred compensation | (D) Nontaxable benefits | (E) Total of columns (B)(i)-(D) | (F) Compensation in column (B) reported as deferred on prior Form 990 |
|--|------|--|-------------------------------------|-------------------------------------|--|-------------------------|---------------------------------|---|
| | | (i) Base compensation | (ii) Bonus & incentive compensation | (iii) Other reportable compensation | | | | |
| 1 GAIL MCGOVERN PRESIDENT & CEO | (i) | 588,223. | 144,810. | 4,938. | 11,200. | 1,652. | 750,823. | 0. |
| | (ii) | 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| 2 BRIAN RHOA CHIEF FINANCIAL OFFICER | (i) | 416,132. | 110,992. | 21,103. | 11,200. | 14,601. | 574,028. | 0. |
| | (ii) | 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| 3 PHYLLIS HARRIS GEN COUNSEL | (i) | 262,259. | 0. | 116,289. | 10,231. | 12,605. | 401,384. | 0. |
| | (ii) | 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| 4 JENNIFER HAWKINS CORP SEC & CHIEF OF STAFF | (i) | 213,887. | 27,830. | 10,997. | 8,569. | 6,967. | 268,250. | 0. |
| | (ii) | 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| 5 MELISSA HURST CHIEF HUMAN RESOURCES OFFICER | (i) | 312,486. | 42,248. | 19,597. | 11,200. | 16,790. | 402,321. | 0. |
| | (ii) | 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| 6 CLIFFORD HOLTZ CHIEF OPERATING OFFICER | (i) | 545,267. | 161,258. | 3,644. | 11,200. | 15,555. | 736,924. | 0. |
| | (ii) | 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| 7 CHRIS HROUDA PRESIDENT, BIOMEDICAL SERVICES | (i) | 522,135. | 135,197. | 1,313. | 11,200. | 15,559. | 685,404. | 0. |
| | (ii) | 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| 8 SHAUN GILMORE CHIEF TRANSFORMATION OFFICER | (i) | 504,749. | 117,550. | 7,128. | 11,200. | 16,285. | 656,912. | 0. |
| | (ii) | 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| 9 DON HERRING CHIEF DEVELOPMENT OFFICER | (i) | 337,933. | 88,543. | 1,445. | 10,915. | 1,594. | 440,430. | 0. |
| | (ii) | 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| 10 NEAL LITVACK CHIEF MARKETING OFFICER | (i) | 324,757. | 40,877. | 2,186. | 11,200. | 8,954. | 387,974. | 0. |
| | (ii) | 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| 11 HARVEY JOHNSON PRESIDENT, HUMANITARIAN SERVIC | (i) | 287,532. | 38,425. | 1,798. | 7,980. | 1,219. | 336,954. | 0. |
| | (ii) | 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| 12 PAUL SULLIVAN SVP, COLLECTIONS | (i) | 357,626. | 73,453. | 613. | 11,200. | 21,234. | 464,126. | 0. |
| | (ii) | 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| 13 JACK MCMASTER PRESIDENT, TRAINING SERVICES | (i) | 348,614. | 61,903. | 2,474. | 8,656. | 20,843. | 442,490. | 0. |
| | (ii) | 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| 14 JENNIFER DO TECHNOLOGIST III, IRL | (i) | 397,153. | 400. | 0. | 11,200. | 23. | 408,776. | 0. |
| | (ii) | 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| 15 PETER GIAMALVA SVP, BIOMED SALES & MARKETING | (i) | 298,702. | 64,030. | 3,856. | 11,200. | 15,150. | 392,938. | 0. |
| | (ii) | 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| 16 PAMPEE YOUNG CHIEF MEDICAL OFFICER | (i) | 365,750. | 7,500. | 883. | 7,802. | 7,498. | 389,433. | 0. |
| | (ii) | 0. | 0. | 0. | 0. | 0. | 0. | 0. |

Schedule J (Form 990) 2019

PUBLIC INSPECTION COPY

AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT

53-0196605

Schedule J (Form 990) 2019

Page **3**

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

SCHEDULE J, PART I, LINE 7

THE AMOUNTS SHOWN IN PART II, COLUMN B (II) FOR THE PRESIDENT & CEO, THE CHIEF FINANCIAL OFFICER, THE PRESIDENT BIOMEDICAL SERVICES, THE CHIEF OPERATING OFFICER, THE CHIEF TRANSFORMATION OFFICER, THE CHIEF HUMAN RESOURCES OFFICER, THE CHIEF DEVELOPMENT OFFICER, THE PRESIDENT HUMANITARIAN SERVICES, AND THE CHIEF MARKETING OFFICER WERE PAID BASED ON WRITTEN VARIABLE INCENTIVE PLANS, PRIOR FISCAL YEAR PERFORMANCE AND WERE APPROVED BY THE COMPENSATION AND MANAGEMENT DEVELOPMENT COMMITTEE OF THE BOARD.

THE AMOUNT SHOWN IN PART II, COLUMN B(II) FOR THE CORPORATE SECRETARY & CHIEF OF STAFF WAS PAID BASED ON A WRITTEN VARIABLE INCENTIVE PLAN, PRIOR FISCAL YEAR PERFORMANCE AND WAS APPROVED BY THE PRESIDENT AND CEO.

THE AMOUNT SHOWN IN PART II, COLUMN B(II) FOR THE SVP COLLECTIONS AND THE SVP BIOMED SALES & MARKETING WERE BASED ON A WRITTEN INCENTIVE PLAN, PRIOR FISCAL YEAR PERFORMANCE AND WERE APPROVED BY THE PRESIDENT BIOMEDICAL SERVICES.

THE AMOUNT SHOWN IN PART II, COLUMN B (II) FOR THE PRESIDENT, TRAINING SERVICES WAS PAID BASED ON A WRITTEN INCENTIVE PLAN, PRIOR FISCAL YEAR PERFORMANCE AND WERE APPROVED BY THE CHIEF OPERATING OFFICER.

Schedule J (Form 990) 2019

JSA

9E1505 1.000

06583L 2502

V 19-7.9F

426054

PAGE 56

PUBLIC INSPECTION COPY

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

THE AMOUNT SHOWN IN PART II, COLUMN B (II) FOR THE CHIEF MEDICAL OFFICER
WAS THE SECOND INSTALLMENT OF A SIGN-ON BONUS TO BE PAID THE FIRST
PAYROLL OF JANUARY 2019 AND WAS APPROVED BY THE PRESIDENT BIOMEDICAL
SERVICES.

SCHEDULE J, PART I, LINE 8
THE AMERICAN NATIONAL RED CROSS HAS ONE (1) EMPLOYEE LISTED ON PART VII
WHO IS COVERED BY REG. SECTION 53.4958-4 (A)(3), THE PRESIDENT & CEO. THE
ORIGINAL BASE SALARY AMOUNTS PAID TO PERSONS COVERED BY THIS PROVISION
AND ANY SUBSEQUENT ANNUAL INCREASES OR OTHER SALARY PAYMENTS ARE
DETERMINED BY THE COMPENSATION AND MANAGEMENT DEVELOPMENT COMMITTEE OF
THE BOARD, AND WERE BASED ON COMPARABLE MARKET DATA AND SUPPORTED BY THE
OPINION OF AN OUTSIDE INDEPENDENT COMPENSATION CONSULTANT AND WERE
DOCUMENTED IN THE MINUTES OF THE COMMITTEE, ALL IN ACCORDANCE WITH THE
REQUIREMENTS FOR THE REBUTTABLE PRESUMPTION OF REASONABLENESS UNDER IRC
SECTION 4958.

PUBLIC INSPECTION COPY

PAGE 1

SCHEDULE K (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information on Tax-Exempt Bonds

► Complete if the organization answered "Yes" on Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.

► Attach to Form 990.

► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public
Inspection

Name of the organization **AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT CHAPTERS AND BRANCHES**

Employer identification number
53-0196605

Part I Bond Issues

| (a) Issuer name | (b) Issuer EIN | (c) CUSIP # | (d) Date issued | (e) Issue price | (f) Description of purpose | (g) Defeased | | (h) On behalf of issuer | | (i) Pooled financing | |
|---|----------------|-------------|-----------------|-----------------|----------------------------------|--------------|----|-------------------------|----|----------------------|----|
| | | | | | | Yes | No | Yes | No | Yes | No |
| A THE CAMBRIA COUNTY INDUSTRIAL DEVELOPMENT AUTHORIT | 25-1334277 | | 12/01/2015 | 16,720,000. | CURRENT REFUNDING OF PRIOR BONDS | | X | | X | | X |
| B CALIFORNIA INFRASTRUCTURE & ECONOMIC DEVELOPMENT B | 63-0304653 | | 12/01/2015 | 33,310,000. | CURRENT REFUNDING OF PRIOR BONDS | | X | | X | | X |
| C | | | | | | | | | | | |
| D | | | | | | | | | | | |

Part II Proceeds

| | A | | B | | C | | D | |
|--|-------------|----|-------------|----|-----|----|-----|----|
| 1 Amount of bonds retired | 3,520,000. | | 7,020,000. | | | | | |
| 2 Amount of bonds legally defeased | | | | | | | | |
| 3 Total proceeds of issue | 16,720,000. | | 33,310,000. | | | | | |
| 4 Gross proceeds in reserve funds | | | | | | | | |
| 5 Capitalized interest from proceeds | | | | | | | | |
| 6 Proceeds in refunding escrows | | | | | | | | |
| 7 Issuance costs from proceeds | | | | | | | | |
| 8 Credit enhancement from proceeds | | | | | | | | |
| 9 Working capital expenditures from proceeds | | | | | | | | |
| 10 Capital expenditures from proceeds | | | | | | | | |
| 11 Other spent proceeds | | | | | | | | |
| 12 Other unspent proceeds | | | | | | | | |
| 13 Year of substantial completion | 2005 | | 2005 | | | | | |
| | Yes | No | Yes | No | Yes | No | Yes | No |
| 14 Were the bonds issued as part of a refunding issue of tax-exempt bonds (or, if issued prior to 2018, a current refunding issue)? | X | | X | | | | | |
| 15 Were the bonds issued as part of a refunding issue of taxable bonds (or, if issued prior to 2018, an advance refunding issue)? | | X | | X | | | | |
| 16 Has the final allocation of proceeds been made? | X | | X | | | | | |
| 17 Does the organization maintain adequate books and records to support the final allocation of proceeds? | X | | X | | | | | |

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule K (Form 990) 2019

PUBLIC INSPECTION COPY

AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT

53-0196605

Schedule K (Form 990) 2019

Page **2**

Part III Private Business Use

PAGE 1

| | A | | B | | C | | D | |
|---|-----|----|-----|----|-----|----|-----|----|
| | Yes | No | Yes | No | Yes | No | Yes | No |
| 1 Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds? | | X | | X | | | | |
| 2 Are there any lease arrangements that may result in private business use of bond-financed property? | | X | | X | | | | |
| 3a Are there any management or service contracts that may result in private business use of bond-financed property? | | X | | X | | | | |
| b If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property? | | | | | | | | |
| c Are there any research agreements that may result in private business use of bond-financed property? | | X | | X | | | | |
| d If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property? | | | | | | | | |
| 4 Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government ▶ | | % | | % | | % | | % |
| 5 Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government ▶ | | % | | % | | % | | % |
| 6 Total of lines 4 and 5 | | % | | % | | % | | % |
| 7 Does the bond issue meet the private security or payment test? | | X | | X | | | | |
| 8a Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued? | | X | | X | | | | |
| b If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of | | % | | % | | % | | % |
| c If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2? | | | | | | | | |
| 9 Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2? | X | | X | | | | | |

Part IV Arbitrage

| | A | | B | | C | | D | |
|---|-----|----|-----|----|-----|----|-----|----|
| | Yes | No | Yes | No | Yes | No | Yes | No |
| 1 Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate? | | X | | X | | | | |
| 2 If "No" to line 1, did the following apply? | | | | | | | | |
| a Rebate not due yet? | | | | | | | | |
| b Exception to rebate? | X | | X | | | | | |
| c No rebate due? | | | | | | | | |
| If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed | | | | | | | | |
| 3 Is the bond issue a variable rate issue? | X | | X | | | | | |

Schedule K (Form 990) 2019

JSA

9E1296 1.000

PUBLIC INSPECTION COPY

AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT

53-0196605

Schedule K (Form 990) 2019

Page 4

Part VI **Supplemental Information.** Provide additional information for responses to questions on Schedule K (see instructions) *(Continued)*

**SCHEDULE M
(Form 990)**Department of the Treasury
Internal Revenue Service**Noncash Contributions**

- ▶ **Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.**
 ▶ **Attach to Form 990.**
 ▶ **Go to www.irs.gov/Form990 for instructions and the latest information.**

OMB No. 1545-0047

2019**Open to Public
Inspection**Name of the organization
AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT
CHAPTERS AND BRANCHESEmployer identification number
53-0196605**Part I Types of Property**

| | (a) Check if applicable | (b) Number of contributions or items contributed | (c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g | (d) Method of determining noncash contribution amounts |
|--|-------------------------------|--|--|--|
| 1 Art - Works of art | | | | |
| 2 Art - Historical treasures | | | | |
| 3 Art - Fractional interests | | | | |
| 4 Books and publications | | | | |
| 5 Clothing and household goods | X | | 6,757,123. | FMV |
| 6 Cars and other vehicles | X | | 123,480. | FMV |
| 7 Boats and planes | | | | |
| 8 Intellectual property | | | | |
| 9 Securities - Publicly traded | | | | |
| 10 Securities - Closely held stock | | | | |
| 11 Securities - Partnership, LLC, or trust interests | | | | |
| 12 Securities - Miscellaneous | | | | |
| 13 Qualified conservation contribution - Historic structures | | | | |
| 14 Qualified conservation contribution - Other | | | | |
| 15 Real estate - Residential | | | | |
| 16 Real estate - Commercial | | | | |
| 17 Real estate - Other | | | | |
| 18 Collectibles | | | | |
| 19 Food inventory | X | | 5,397,521. | FMV |
| 20 Drugs and medical supplies | X | | 839,617. | FMV |
| 21 Taxidermy | | | | |
| 22 Historical artifacts | | | | |
| 23 Scientific specimens | | | | |
| 24 Archeological artifacts | | | | |
| 25 Other ▶ (VARIOUS) | X | | 2,964,223. | FMV |
| 26 Other ▶ () | | | | |
| 27 Other ▶ () | | | | |
| 28 Other ▶ () | | | | |

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement

29

30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which isn't required to be used for exempt purposes for the entire holding period?

| | Yes | No |
|-----|-----|----|
| 30a | | X |

b If "Yes," describe the arrangement in Part II.

31 Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions?

| | | |
|----|---|--|
| 31 | X | |
|----|---|--|

32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?

| | | |
|-----|---|--|
| 32a | X | |
|-----|---|--|

b If "Yes," describe in Part II.

33 If the organization didn't report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule M (Form 990) 2019

PUBLIC INSPECTION COPY

AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT

53-0196605

Schedule M (Form 990) (2019)

Page **2**

Part II **Supplemental Information.** Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

SCHEDULE M, PART I, LINE 32B

THE AMERICAN NATIONAL RED CROSS USES THIRD-PARTY VENDORS FOR ITS VEHICLE
DONATION AND CLOTHING DONATION PROGRAMS. THE VENDORS SOLICIT, PROCESS,
AND SELL THE DONATED GOODS.

PUBLIC INSPECTION COPY

SCHEDULE O (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.

► Attach to Form 990 or 990-EZ.

► Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2019

Open to Public
Inspection

Name of the organization
AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT
CHAPTERS AND BRANCHES

Employer identification number
53-0196605

FORM 990, PART III, STATEMENT OF PROGRAM SERVICES

4A. BIOMEDICAL SERVICES: EACH YEAR, THE AMERICAN NATIONAL RED CROSS COLLECTS MORE THAN 4.5 MILLION BLOOD DONATIONS AND NEARLY 1 MILLION PLATELET DONATIONS FROM NEARLY 2.6 MILLION VOLUNTEER DONORS ON AVERAGE. FROM THESE DONATIONS, THE AMERICAN NATIONAL RED CROSS DELIVERS NEARLY 6.4 MILLION BLOOD PRODUCTS FOR TRANSFUSION ON AVERAGE EACH YEAR TO MEET THE NEEDS OF PATIENTS AT ABOUT 2,500 HOSPITALS AND OTHER FACILITIES ACROSS THE COUNTRY.

IN RESPONSE TO THE COVID-19 PANDEMIC, THE AMERICAN NATIONAL RED CROSS ADAPTED OUR BLOOD COLLECTION OPERATIONS TO ENSURE THE NEEDS OF ALL PATIENTS ARE MET DESPITE UNPRECEDENTED CHALLENGES. IN APRIL 2020, THE AMERICAN NATIONAL RED CROSS DEVELOPED AND IMPLEMENTED A NEW PROGRAM TO IDENTIFY AND RECRUIT COVID-19 SURVIVORS TO DONATE THEIR PLASMA. CONVALESCENT PLASMA CONTAINS COVID-19 ANTIBODIES FROM SURVIVORS THAT MAY HELP THE MOST CRITICAL PATIENTS ACTIVELY FIGHTING THIS VIRUS.

4B. DOMESTIC DISASTER SERVICES: THE ORGANIZATION RESPONDED TO MULTIPLE LARGE SCALE DISASTERS IN FISCAL YEAR 2020, INCLUDING NOTABLY TWO HURRICANES, BARRY (JULY) AND DORIAN (AUGUST), AND TROPICAL STORM IMELDA (SEPTEMBER). IN ADDITION, THE AMERICAN NATIONAL RED CROSS ALSO RESPONDED TO THE KINCADE FIRE IN CALIFORNIA (OCTOBER), TORNADOES AND OTHER STORMS (MARCH - APRIL), INCLUDING THE TENNESSEE TORNADOES (MARCH). SINCE THE BEGINNING OF COVID-19 THE AMERICAN NATIONAL RED CROSS HAS ADAPTED

PUBLIC INSPECTION COPY

Schedule O (Form 990 or 990-EZ) 2019

Page **2**

Name of the organization **AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT
CHAPTERS AND BRANCHES**

Employer identification number
53-0196605

TACTICS, TRAINING, AND PROCEDURES TO BALANCE MISSION DELIVERY WITH THE
HIGHEST PRIORITY FOR THE WORKFORCE SAFETY, HEALTH, AND WELL-BEING. THE
AMERICAN NATIONAL RED CROSS ENGAGED IN MISSION ACTIVITIES ACROSS THE
COUNTRY AS A RESULT OF THE PANDEMIC. IN ADDITION, THE AMERICAN NATIONAL
RED CROSS HAS ONGOING RECOVERY OPERATIONS IN MANY STATES, INCLUDING
STATES IMPACTED BY FLOODING, HURRICANES, AND WILDFIRES IN FY2018 AND
FY2019.

THROUGH ITS NETWORK OF VOLUNTEERS AND EMPLOYEES IN ALL 50 STATES, THE
AMERICAN NATIONAL RED CROSS RESPONDS TO AN AVERAGE OF NEARLY 60,000
DISASTERS BIG AND SMALL PER YEAR, MOST OF WHICH ARE SINGLE AND
MULTI-FAMILY HOME FIRES. THE ORGANIZATION PROVIDES FOOD, SHELTER,
EMERGENCY RELIEF ITEMS, EMERGENCY ASSISTANCE, DISASTER HEALTH SERVICE,
CRISIS INTERVENTIONS AND COMMUNITY MENTAL-HEALTH DEBRIEFINGS AND/OR OTHER
RELATED EMERGENCY CARE TO PERSONS IN NEED. FOR INDIVIDUALS AND
COMMUNITIES AFFECTED BY DISASTERS, THE SERVICES OF THE AMERICAN NATIONAL
RED CROSS BEGIN WITH SAFE SHELTER AND CONTINUE WITH SUPPORT FOR
INDIVIDUALS AND FAMILIES RECOVERING FROM DISASTERS.

AS PART OF A NATIONAL HOME FIRE CAMPAIGN, THE AMERICAN NATIONAL RED CROSS
INSTALLED NEARLY 285,000 SMOKE ALARMS AND TAUGHT MORE THAN 136,000 YOUTH
ABOUT PREPAREDNESS IN FY20. THE OVERALL GOAL OF THE CAMPAIGN IS TO REDUCE
THE LOSS OF LIFE DUE TO HOME FIRES BY 25 PERCENT. AS OF JUNE 30, 2020 THE
AMERICAN NATIONAL RED CROSS CAN CONFIRM AT LEAST 779 LIVES HAVE BEEN
SAVED AS THE RESULT OF THE HOME FIRE CAMPAIGN. IN FY20, OUR REACH WAS

PUBLIC INSPECTION COPY

Schedule O (Form 990 or 990-EZ) 2019

Page **2**

Name of the organization **AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT
CHAPTERS AND BRANCHES**

Employer identification number
53-0196605

REDUCED BY THE SUSPENSION OF SERVICES DUE TO COVID-19.

PREPAREDNESS:

THE AMERICAN NATIONAL RED CROSS SUPPORTS PUBLIC PREPAREDNESS THROUGH A
COMBINATION OF NEW TECHNOLOGY, EDUCATION AND AWARENESS CAMPAIGNS, AND

DIRECT ACTION:

* OUR HOME FIRE CAMPAIGN INCLUDES A COMPONENT IN WHICH VOLUNTEERS AND
PARTNER ORGANIZATIONS GO DOOR-TO-DOOR TO INSTALL SMOKE ALARMS AND PROVIDE
FIRE-SAFETY EDUCATION IN AT-RISK HOMES NATIONWIDE.

* OUR MESSAGING AND EDUCATIONAL CAMPAIGNS INCLUDE PUBLIC TIPS ON
STAYING SAFE, PRESENTATIONS TO COMMUNITY GROUPS, AND EDUCATION OF YOUTH
IN SCHOOL AND AFTER SCHOOL AROUND HOW THEY CAN BE SAFE.

* OUR EMERGENCY AND YOUTH-ORIENTED MONSTER GUARD APPS PROVIDE
STATE-OF-THE ART INFORMATION ON WHAT TO DO TO KEEP YOURSELF AND YOUR
FAMILY SAFE FROM COMMON HAZARDS. OUR READY RATING WEBSITE PROVIDES SMALL
AND MIDSIZED BUSINESSES WITH AN AUTOMATED, CUSTOMIZED ASSESSMENT OF THEIR
DISASTER READINESS AND RECOMMENDATIONS FOR IMPROVEMENT.

4C. TRAINING SERVICES: AMERICAN NATIONAL RED CROSS TRAINING SERVICES
PROVIDES TRAINING PROGRAMS THAT HELP SAVE LIVES AND STRENGTHEN
COMMUNITIES--IMPARTING HOPE AND CONFIDENCE ALONG WITH PRACTICAL SKILLS.
IT IS THE PREMIER PROVIDER OF EDUCATION, TRAINING, AND PRODUCTS THAT

PUBLIC INSPECTION COPY

Schedule O (Form 990 or 990-EZ) 2019

Page **2**

Name of the organization **AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT
CHAPTERS AND BRANCHES**

Employer identification number
53-0196605

ENABLE PEOPLE TO PREVENT, PREPARE FOR AND RESPOND TO DISASTERS AND OTHER
LIFE-THREATENING EMERGENCIES. AMERICAN NATIONAL RED CROSS EMPLOYEES AND
AUTHORIZED PROVIDERS HELP SUSTAIN AND DELIVER HEALTH AND SAFETY PROGRAMS
AND SERVICES INCLUDING: FIRST AID/CPR/AED INFORMATION AND SKILLS BOTH
FOR THE LICENSED PROFESSIONAL AND THE LAY RESPONDER; HEALTHCARE PROVIDER
TRAINING (BASIC LIFE SUPPORT (BLS), ADVANCED LIFE SUPPORT (ALS), AND
PEDIATRIC ADVANCED LIFE SUPPORT (PALS); AQUATICS (LEARN-TO-SWIM, WATER
SAFETY, LIFEGUARDING, LIFEGUARD MANAGEMENT, AND AQUATIC EXAMINER FACILITY
SERVICES); AND CAREGIVING (BABYSITTER'S TRAINING AND NURSE ASSISTANT
TRAINING AND TESTING).

4D. INTERNATIONAL RELIEF AND DEVELOPMENT SERVICES:

THE ORGANIZATION HELPS VULNERABLE PEOPLE AROUND THE WORLD, PREVENT,
PREPARE FOR, RESPOND TO AND RECOVER FROM DISASTERS, COMPLEX HUMANITARIAN
EMERGENCIES, AND LIFE-THREATENING HEALTH CONDITIONS THROUGH GLOBAL
INITIATIVES AND COMMUNITY-BASED PROGRAMS. WITH A FOCUS ON DISEASE
PREVENTION ON A MASS-SCALE, DISASTER MANAGEMENT, AND THE DISSEMINATION
OF INTERNATIONAL HUMANITARIAN LAW, THE ORGANIZATION PROVIDES RAPID,
EFFECTIVE, AND LARGE-SCALE HUMANITARIAN ASSISTANCE TO THOSE IN NEED. TO
ACHIEVE OUR GOALS, THE ORGANIZATION WORKS WITH OUR PARTNERS IN THE
INTERNATIONAL RED CROSS AND RED CRESCENT MOVEMENT AND OTHER
INTERNATIONAL RELIEF AND DEVELOPMENT AGENCIES TO BUILD LOCAL CAPACITIES,
MOBILIZE AND EMPOWER COMMUNITIES, AND ESTABLISH PARTNERSHIPS.

4E. SERVICE TO THE ARMED FORCES: THE ORGANIZATION PROVIDES MILITARY

PUBLIC INSPECTION COPY

Schedule O (Form 990 or 990-EZ) 2019

Page **2**

Name of the organization **AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT
CHAPTERS AND BRANCHES**

Employer identification number
53-0196605

MEMBERS, VETERANS, AND THEIR FAMILIES WITH EMERGENCY COMMUNICATIONS
SERVICES, PROGRAMS AND SERVICES FOR THE SICK, WOUNDED AND RECOVERING AT
VETERANS AND MILITARY MEDICAL FACILITIES, JOB TRAINING AND EDUCATION,
AND OTHER VITAL SERVICES FOR U.S. MILITARY FAMILIES AROUND THE WORLD. AND
OTHER VITAL SERVICES FOR U.S. MILITARY FAMILIES AROUND THE WORLD.

IN 2020, THE AMERICAN NATIONAL RED CROSS, ALONG WITH OUR NATION AND THE
WORLD CONFRONTED A NEW THREAT - THE COVID-19 PANDEMIC. TO HELP PREVENT
THE SPREAD OF COVID-19, THE AMERICAN NATIONAL RED CROSS IMPLEMENTED NEW
SAFETY PROTOCOLS ACROSS ALL OPERATIONS INCLUDING FACE MASK REQUIREMENTS,
FACILITY TEMPERATURE SCREENINGS, SOCIAL DISTANCING MEASURES AND ENHANCED
CLEANING PRACTICES.

ALMOST EVERY ASPECT OF THE ORGANIZATION ADAPTED TO THE NEW PANDEMIC
ENVIRONMENT. FOLLOWING UNPRECEDENTED BLOOD DRIVE CANCELLATIONS DUE TO THE
LOCKDOWN, THE AMERICAN NATIONAL RED CROSS WORKED WITH GOVERNMENT,
CORPORATE AND LOCAL PARTNERS TO ENSURE WE COLLECTED MUCH-NEEDED BLOOD FOR
TRAUMA VICTIMS, CANCER PATIENTS AND ALL THOSE IN NEED OF LIFESAVING
TRANSFUSIONS THROUGHOUT THE PANDEMIC. THE AMERICAN NATIONAL RED CROSS
CONTINUED TO PROVIDE SUPPORT FOR THOSE DEVASTATED BY DISASTERS BOTH LARGE
AND SMALL THROUGHOUT THE COVID-19 OUTBREAK - COORDINATING HOTEL STAYS
INSTEAD OF STANDING UP LARGE SHELTERS FOR THOSE DISPLACED BY DISASTERS
AND PROVIDING MEALS BY DOOR DROP OR AT LOCATIONS WHERE COMMUNITY MEMBERS
COULD SAFELY PICK UP FOOD. LIFESAVING TRAINING CLASSES TOOK PLACE
VIRTUALLY, WITH NEW, IMPORTANT AND CREATIVE CONTENT AS THE ORGANIZATION

PUBLIC INSPECTION COPY

Schedule O (Form 990 or 990-EZ) 2019

Page **2**

Name of the organization AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT
CHAPTERS AND BRANCHES

Employer identification number
53-0196605

QUICKLY INNOVATED OUR CLASS STRUCTURE. RED CROSS SERVICE TO THE ARMED
FORCES CALL CENTER PERSONNEL WORKED FROM HOME, HANDLING SIGNIFICANTLY
MORE VOLUME AS VETERANS CALLED IN NEED OF FINANCIAL ASSISTANCE.
VOLUNTEERS ASSISTED BOTH ONSITE AND VIRTUALLY TO CONNECT FAMILIES WITH
OUR SERVICES.

WHILE THE STRAIN OF THE PANDEMIC WEIGHED HEAVILY ON THE NATION AND
THROUGHOUT THE WORLD, THE AMERICAN NATIONAL RED CROSS CONTINUED TO OFFER
SUPPORT AND CARE DURING A DARK AND DIFFICULT TIME, BRINGING COMFORT, HOPE
AND LIGHT WHEN INDIVIDUALS AND FAMILIES NEEDED IT MOST.

FORM 990, PART V, LINE 3B

THE AMERICAN NATIONAL RED CROSS COMPLIES WITH ITS UNRELATED BUSINESS
INCOME FORM 990-T RETURN FILING BY THE INTERNAL REVENUE CODE PERMITTED
EXTENDED DUE DATE OF MAY 17, 2021. PER THE FORM 990 INSTRUCTIONS FOR
PART V, LINE 3B, THE AMERICAN NATIONAL RED CROSS IS REQUIRED TO ANSWER
'NO' TO FILING A FORM 990-T BECAUSE IT WILL NOT FILE ITS FORM 990-T BY
THE TIME IT FILES ITS FORM 990 ON APRIL 9, 2021. THE AMERICAN NATIONAL
RED CROSS IS ANNUALLY AND TIMELY COMPLIANT WITH ITS FORM 990-T
REQUIREMENTS.

FORM 990, PART VI, SECTION A, LINES 4, 6 & 7A

4. IN FY2020 THE AMERICAN NATIONAL RED CROSS BOARD OF GOVERNORS APPROVED
CHANGES TO A SERIES OF GOVERNING DOCUMENTS AS A RESULT OF A CHANGE IN
INVESTMENT STRATEGY.

PUBLIC INSPECTION COPY

Schedule O (Form 990 or 990-EZ) 2019

Page **2**

Name of the organization **AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT
CHAPTERS AND BRANCHES**

Employer identification number
53-0196605

6. AS DEFINED IN THE CONGRESSIONAL CHARTER: "MEMBERSHIP IN THE CORPORATION IS OPEN TO ALL THE PEOPLE OF THE UNITED STATES AND ITS TERRITORIES AND POSSESSIONS, ON PAYMENT OF AN AMOUNT SPECIFIED, OR AS OTHERWISE PROVIDED IN THE BYLAWS."

SECTION 7 OF THE AMENDED AND RESTATED BYLAWS OF THE AMERICAN NATIONAL RED CROSS DESCRIBES MEMBERSHIP IN THE CORPORATION AND DEFINES MEMBERSHIP AND THE TERMINATION OF MEMBERSHIP.

7A. DELEGATES OF THE CHAPTERS ELECT ALL MEMBERS OF THE GOVERNING BODY EXCEPT THE CHAIRMAN OF THE BOARD OF GOVERNORS, WHO IS APPOINTED BY THE PRESIDENT OF THE UNITED STATES.

AS MANDATED IN THE CONGRESSIONAL CHARTER, SECTION 4(A)(3)(B)(I): "MEMBERS OF THE BOARD OF GOVERNORS OTHER THAN THE CHAIRMAN SHALL BE ELECTED AT THE ANNUAL MEETING OF THE CORPORATION IN ACCORDANCE WITH SUCH PROCEDURES AS MAY BE PROVIDED IN THE BYLAWS."

FORM 990, PART VI, SECTION B, LINES 11B, 12C, 15A & 15B
LINE 11B - THE COMPENSATION AND MANAGEMENT DEVELOPMENT COMMITTEE REVIEWED THE COMPENSATION PORTIONS OF THE IRS FORM 990 (PART VII AND SCHEDULE J) DURING THE MEETING HELD ON OCTOBER 21, 2020. A COPY OF THE FINAL FORM 990 WAS SUBMITTED TO EACH MEMBER OF THE BOARD OF GOVERNORS BEFORE IT WAS FILED WITH THE IRS.

THE MANAGEMENT REVIEW PROCESS ENTAILS THE CHIEF FINANCIAL OFFICER

PUBLIC INSPECTION COPY

Schedule O (Form 990 or 990-EZ) 2019

Page **2**

Name of the organization **AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT
CHAPTERS AND BRANCHES**

Employer identification number
53-0196605

COORDINATING THE COMPLETION OF THE IRS FORM 990 WITH THE GENERAL COUNSEL
AND THE CHIEF HUMAN RESOURCES OFFICER FOR FINAL REVIEW BY THE PRESIDENT
AND CEO.

LINE 12C. AS REQUIRED BY SECTION 2.3(A) OF THE AMENDED AND RESTATED
BYLAWS OF THE AMERICAN NATIONAL RED CROSS, ALL MEMBERS OF THE BOARD OF
GOVERNORS MUST ANNUALLY REVIEW AND CERTIFY THE CODE OF BUSINESS ETHICS
AND CONDUCT. ADDITIONALLY, TO DISCLOSE AND REMEDY ACTUAL OR PERCEIVED
BUSINESS, FINANCIAL OR PERSONAL CONFLICTS OF INTEREST, EVERY MEMBER OF
THE BOARD OF GOVERNORS MUST ALSO COMPLETE A CONFLICT OF INTEREST
QUESTIONNAIRE (THE QUESTIONNAIRE) ANNUALLY. OTHER OFFICERS AND KEY
EMPLOYEES ARE ALSO REQUIRED TO EXECUTE THE CODE OF BUSINESS ETHICS AND
CONDUCT AND THE QUESTIONNAIRE ANNUALLY.

UNDER THE DIRECTION OF THE GENERAL COUNSEL, THE INVESTIGATIONS,
COMPLIANCE AND ETHICS DEPARTMENT STAFF COLLECT THE EXECUTED
QUESTIONNAIRE FORMS FROM THE MEMBERS OF THE BOARD OF GOVERNORS AND OTHER
OFFICERS AND KEY EMPLOYEES. THE INFORMATION DISCLOSED IN THE
QUESTIONNAIRE IS REVIEWED AND ACTUAL OR PERCEIVED CONFLICTS OF INTEREST
ARE IDENTIFIED. THEY ARE DISCUSSED WITH THE GENERAL COUNSEL WHO
DETERMINES ANY NECESSARY REMEDIATION OPTIONS. DEPENDING ON THE MATTER,
THE GENERAL COUNSEL OR A STAFF MEMBER FROM THE INVESTIGATIONS, COMPLIANCE
AND ETHICS DEPARTMENT DISCUSSES THE CONFLICT AND REMEDIATION WITH THE
MEMBER OF THE BOARD OR THE OTHER OFFICER OR KEY EMPLOYEE, AND IF
NECESSARY THE PRESIDENT AND CEO OR CHAIRMAN OF THE BOARD. WHERE

PUBLIC INSPECTION COPY

Schedule O (Form 990 or 990-EZ) 2019

Page **2**

Name of the organization **AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT
CHAPTERS AND BRANCHES**

Employer identification number
53-0196605

APPROPRIATE, THE CONFLICT OF INTEREST AND REMEDIATION REGARDING A MEMBER
OF THE BOARD ARE INCLUDED IN THE MINUTES OF THE RELEVANT BOARD COMMITTEE
OR FULL BOARD MEETING.

THE QUESTIONNAIRE IS ALSO INTENDED TO MONITOR CONFLICTS OF INTEREST ON
AN ONGOING BASIS. MEMBERS OF THE BOARD AND OTHER OFFICERS AND KEY
EMPLOYEES ARE EXPLICITLY INSTRUCTED THAT THEY HAVE A CONTINUING DUTY
TO UPDATE THE QUESTIONNAIRE DURING THE COURSE OF THE YEAR TO REFLECT
CHANGES IN ANY BUSINESS, FINANCIAL OR PERSONAL CONFLICTS OF INTEREST.
THE SAME PROCESS OF REVIEW, DISCUSSION AND FOLLOW-UP ON CONFLICTS OF
INTEREST AND REMEDIATION WITH THE BOARD MEMBER OR OTHER OFFICER OR KEY
EMPLOYEE WOULD OCCUR WITH INTERIM DISCLOSURES.

LINE 15A & 15B - THE BOARD OF GOVERNORS OF THE AMERICAN NATIONAL RED
CROSS HAS DELEGATED AUTHORITY TO THE COMPENSATION AND MANAGEMENT
DEVELOPMENT COMMITTEE (THE "COMMITTEE") OF THE BOARD TO (1) REVIEW THE
COMPENSATION, BENEFITS AND INCENTIVE PROGRAMS FOR THE CEO; (2) MAKE
RECOMMENDATIONS TO THE BOARD FOR THE CEO'S ANNUAL SALARY, BENEFITS AND
INCENTIVE PROGRAM; AND, (3) REVIEW AND MAKE DETERMINATIONS REGARDING THE
COMPENSATION, BENEFITS, AND INCENTIVE PROGRAMS FOR OTHER SENIOR OFFICERS
AND EXECUTIVES OF THE AMERICAN NATIONAL RED CROSS. THE COMMITTEE IS
COMPOSED ENTIRELY OF BOARD MEMBERS WHO DO NOT HAVE ANY CONFLICTS OF
INTEREST. ANNUALLY, THE COMMITTEE REVIEWS AND APPROVES A LIST OF
EXECUTIVES WHO ARE OR MIGHT BE CONSIDERED "DISQUALIFIED PERSONS" PURSUANT
TO INTERNAL REVENUE CODE SECTION 4958. WITH RESPECT TO THOSE PERSONS, THE

PUBLIC INSPECTION COPY

Schedule O (Form 990 or 990-EZ) 2019

Page **2**

Name of the organization AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT
CHAPTERS AND BRANCHES

Employer identification number
53-0196605

COMMITTEE CONDUCTS ITS ANNUAL REVIEW OF THEIR TOTAL COMPENSATION AND BENEFITS BASED ON COMPARABLE MARKET DATA. THE COMMITTEE RETAINS AN OUTSIDE, INDEPENDENT COMPENSATION CONSULTANT TO PROVIDE MARKET DATA AND REASONABLENESS OPINIONS FOR THE DESIGNATED EXECUTIVES AND IT RELIES ON SUCH MARKET DATA AND REASONABLENESS OPINIONS IN APPROVING NEW SALARIES, BENEFITS AND PAYMENT OF BONUSES OR INCENTIVES FOR THE DESIGNATED PERSONS. THE COMMITTEE ALSO THEN DOCUMENTS ITS DECISIONS AS TO ANY CHANGES TO BE IMPLEMENTED IN COMPENSATION OR BENEFITS FOR THE DESIGNATED PERSONS. THE COMMITTEE UNDERTOOK THIS PROCESS FOR ALL THE OFFICERS AND KEY EMPLOYEES REPORTED IN SCHEDULE J WHO ARE CONSIDERED "DISQUALIFIED PERSONS" PURSUANT TO IRC SECTION 4958.

FORM 990, PART VI, SECTION C, LINE 19

THE AMERICAN NATIONAL RED CROSS MAKES ITS GOVERNING DOCUMENTS INCLUDING THE CODE OF BUSINESS ETHICS AND CONDUCT, CONFLICT OF INTEREST QUESTIONNAIRE, AND THE CONSOLIDATED FINANCIAL STATEMENTS AVAILABLE TO THE PUBLIC ON THE GOVERNANCE PAGE OF ITS WEBSITE, WWW.REDCROSS.ORG

FORM 990, PART XI, LINE 9

PRIMARILY, THIS AMOUNT REPRESENTS EMPLOYEE RETIREMENT PENSION AND POST-RETIREMENT BENEFIT PLAN GAIN PER PROVISION OF ASC 715 (FORMER FASB 87 AND 106) IN THE AMOUNT OF \$211,834,212.

PUBLIC INSPECTION COPY

Schedule O (Form 990 or 990-EZ) 2019

Page **2**

Name of the organization **AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT CHAPTERS AND BRANCHES**

Employer identification number
53-0196605

ATTACHMENT 1

FORM 990, PART III, LINE 4D - OTHER PROGRAM SERVICES

| <u>DESCRIPTION</u> | <u>GRANTS</u> | <u>EXPENSES</u> | <u>REVENUE</u> |
|---|--------------------|---------------------|----------------|
| INTERNATIONAL RELIEF AND DEVELOPMENT SERVICES | 62,341,576. | 82,053,674. | |
| COMMUNITY SERVICES | 3,530,842. | 24,887,876. | |
| SERVICES TO THE ARMED FORCES | 708,086. | 51,835,736. | |
| TOTALS | <u>66,580,504.</u> | <u>158,777,286.</u> | |

ATTACHMENT 2

FORM 990, PART V, LINE 4B - FOREIGN COUNTRIES

HAITI

VIETNAM

KENYA

ATTACHMENT 3

990, PART VII- COMPENSATION OF THE FIVE HIGHEST PAID IND. CONTRACTORS

| <u>NAME AND ADDRESS</u> | <u>DESCRIPTION OF SERVICES</u> | <u>COMPENSATION</u> |
|---|--------------------------------|---------------------|
| DROPOFF INCORPORATED 4200 WESTPARK DRIVE, SUITE A ATLANTA, GA 30336 | COURIER SERVICE | 11,095,235. |
| ONE & ALL 2 N LAKE SUITE 600 PASADENA, CA 91101 | PRINTING AND MAILING | 9,191,887. |
| SERVICEMASTER 150 PEABODY PLACE MEMPHIS, TN 38103 | BUILDING SERVICES | 8,595,803. |
| MINDTREE LIMITED 25 INDEPENDENCE BLVD, SUITE 401 WARREN, NJ 07059 | IT CONSULTING | 8,352,549. |
| CHARLES PANKOW BUILDERS 199 S. LOS ROBLES #300 PASADENA, CA 91101 | BUILDING SERVICES | 5,178,351. |

PUBLIC INSPECTION COPY

AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT

53-0196605

SCHEDULE R (Form 990)

Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

► Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.

► Attach to Form 990.

► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public
Inspection

Name of the organization
AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT
CHAPTERS AND BRANCHES

Employer identification number
53-0196605

Part I Identification of Disregarded Entities. Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

| (a) Name, address, and EIN (if applicable) of disregarded entity | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Total income | (e) End-of-year assets | (f) Direct controlling entity |
|---|-------------------------|--|---------------------|---------------------------|----------------------------------|
| (1) ARC RECEIVABLES COMPANY LLC 14-1934462 1730 E STREET NW SUITE 330 WASHINGTON, DC 20006 | SECURITIZE AR | DE | 0. | 128519041. | N/A |
| (2) | | | | | |
| (3) | | | | | |
| (4) | | | | | |
| (5) | | | | | |
| (6) | | | | | |

Part II Identification of Related Tax-Exempt Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related tax-exempt organizations during the tax year.

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Exempt Code section | (e) Public charity status (if section 501(c)(3)) | (f) Direct controlling entity | (g) Section 512(b)(13) controlled entity? | |
|---|-------------------------|--|----------------------------|---|----------------------------------|--|----|
| | | | | | | Yes | No |
| (1) | | | | | | | |
| (2) | | | | | | | |
| (3) | | | | | | | |
| (4) | | | | | | | |
| (5) | | | | | | | |
| (6) | | | | | | | |
| (7) | | | | | | | |

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule R (Form 990) 2019

JSA

9E1307 1.000

06583L 2502

V 19-7.9F

426054

PAGE 75

PUBLIC INSPECTION COPY

AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT

53-0196605

Schedule R (Form 990) 2019

Page **2**

Part III Identification of Related Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a partnership during the tax year.

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Direct controlling entity | (e) Predominant income (related, unrelated, excluded from tax under sections 512 - 514) | (f) Share of total income | (g) Share of end-of- year assets | (h) Disproportionate allocations? | | (i) Code V - UBI amount in box 20 of Schedule K-1 (Form 1065) | (j) General or managing partner? | | (k) Percentage ownership |
|--|-------------------------|--|-------------------------------------|---|---------------------------------|--|---|----|---|---|----|--------------------------------|
| | | | | | | | Yes | No | | Yes | No | |
| (1) | | | | | | | | | | | | |
| (2) | | | | | | | | | | | | |
| (3) | | | | | | | | | | | | |
| (4) | | | | | | | | | | | | |
| (5) | | | | | | | | | | | | |
| (6) | | | | | | | | | | | | |
| (7) | | | | | | | | | | | | |

Part IV Identification of Related Organizations Taxable as a Corporation or Trust. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a corporation or trust during the tax year.

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Direct controlling entity | (e) Type of entity (C corp, S corp, or trust) | (f) Share of total income | (g) Share of end-of-year assets | (h) Percentage ownership | (i) Section 512(b)(13) controlled entity? | |
|--|-------------------------|--|-------------------------------------|---|---------------------------------|---------------------------------------|--------------------------------|---|----|
| | | | | | | | | Yes | No |
| (1) BOARDMAN INDEMNITY, LTD CUMBERLAND HOUSE, PO BOX HM 2280 HAMILTON, BD | INSURANCE | BD | N/A | C CORP | | | 100.0000 | X | |
| (2) POOLED INCOME FUND(2) 431 18TH STREET, NW WASHINGTON, DC 20006 | SPLIT INTR AG | DC | N/A | TRUST | | | | | X |
| (3) CHARITABLE REMAINDER TRUST(22) 431 18TH STREET, NW WASHINGTON, DC 20006 | SPLIT INTR AG | DC | N/A | TRUST | | | | | X |
| (4) PERPETUAL TRUST(53) 431 18TH STREET, NW WASHINGTON, DC 20006 | SPLIT INTR AG | DC | N/A | TRUST | | | | | X |
| (5) | | | | | | | | | |
| (6) | | | | | | | | | |
| (7) | | | | | | | | | |

Schedule R (Form 990) 2019

Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.**Note:** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

| | Yes | No |
|---|-----------|----|
| 1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV? | | |
| a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity. | 1a | X |
| b Gift, grant, or capital contribution to related organization(s). | 1b | X |
| c Gift, grant, or capital contribution from related organization(s). | 1c | X |
| d Loans or loan guarantees to or for related organization(s). | 1d | X |
| e Loans or loan guarantees by related organization(s). | 1e | X |
| f Dividends from related organization(s). | 1f | X |
| g Sale of assets to related organization(s). | 1g | X |
| h Purchase of assets from related organization(s). | 1h | X |
| i Exchange of assets with related organization(s). | 1i | X |
| j Lease of facilities, equipment, or other assets to related organization(s). | 1j | X |
| k Lease of facilities, equipment, or other assets from related organization(s). | 1k | X |
| l Performance of services or membership or fundraising solicitations for related organization(s). | 1l | X |
| m Performance of services or membership or fundraising solicitations by related organization(s). | 1m | X |
| n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s). | 1n | X |
| o Sharing of paid employees with related organization(s). | 1o | X |
| p Reimbursement paid to related organization(s) for expenses. | 1p | X |
| q Reimbursement paid by related organization(s) for expenses. | 1q | X |
| r Other transfer of cash or property to related organization(s). | 1r | X |
| s Other transfer of cash or property from related organization(s). | 1s | X |
| 2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds. | | |

| (a) Name of related organization | (b) Transaction type (a-s) | (c) Amount involved | (d) Method of determining amount involved |
|-------------------------------------|----------------------------------|------------------------|---|
| (1) BOARDMAN INDEMNITY, LTD | R | 30,193,768. | CASH |
| (2) BOARDMAN INDEMNITY, LTD | S | 25,994,948. | CASH |
| (3) | | | |
| (4) | | | |
| (5) | | | |
| (6) | | | |

PUBLIC INSPECTION COPY

AMERICAN NATIONAL RED CROSS & ITS CONSTITUENT

53-0196605

Schedule R (Form 990) 2019

Page **4**

Part VI **Unrelated Organizations Taxable as a Partnership.** Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

| (a) Name, address, and EIN of entity | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Predominant income (related, unrelated, excluded from tax under sections 512-514) | (e) Are all partners section 501(c)(3) organizations? | | (f) Share of total income | (g) Share of end-of-year assets | (h) Disproportionate allocations? | | (i) Code V - UBI amount in box 20 of Schedule K-1 (Form 1065) | (j) General or managing partner? | | (k) Percentage ownership |
|---|-------------------------|--|--|---|----|---------------------------------|--|---|----|---|---|----|--------------------------------|
| | | | | Yes | No | | | Yes | No | | Yes | No | |
| (1) | | | | | | | | | | | | | |
| (2) | | | | | | | | | | | | | |
| (3) | | | | | | | | | | | | | |
| (4) | | | | | | | | | | | | | |
| (5) | | | | | | | | | | | | | |
| (6) | | | | | | | | | | | | | |
| (7) | | | | | | | | | | | | | |
| (8) | | | | | | | | | | | | | |
| (9) | | | | | | | | | | | | | |
| (10) | | | | | | | | | | | | | |
| (11) | | | | | | | | | | | | | |
| (12) | | | | | | | | | | | | | |
| (13) | | | | | | | | | | | | | |
| (14) | | | | | | | | | | | | | |
| (15) | | | | | | | | | | | | | |
| (16) | | | | | | | | | | | | | |

Schedule R (Form 990) 2019

Part VII **Supplemental Information**

Provide additional information for responses to questions on Schedule R. See instructions.